



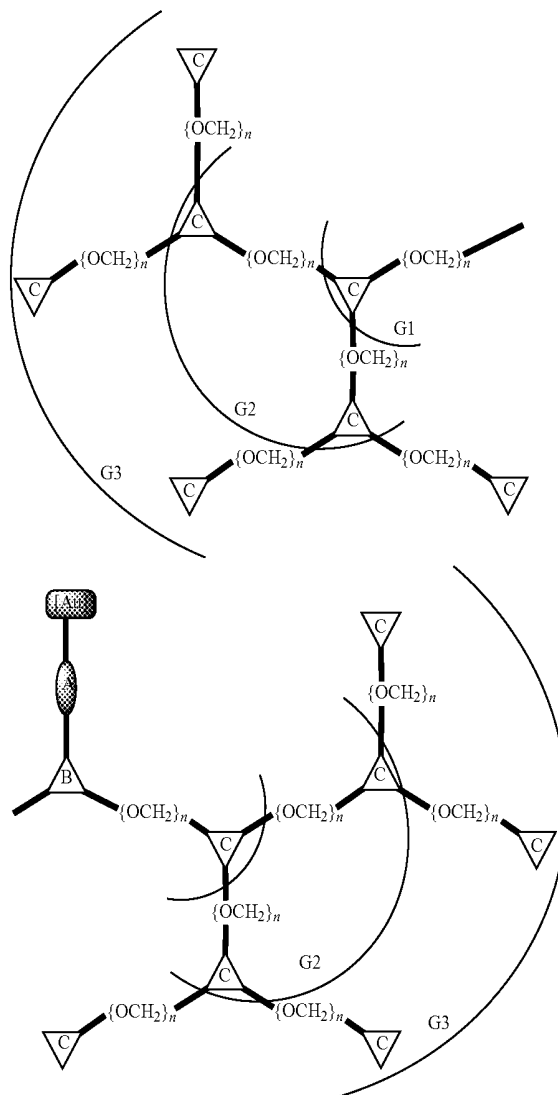
US 20130228758A1

(19) **United States**(12) **Patent Application Publication**
Yam et al.(10) **Pub. No.: US 2013/0228758 A1**(43) **Pub. Date: Sep. 5, 2013**(54) **DENDRIMERS CONTAINING LUMINESCENT GOLD (III) COMPOUNDS FOR ORGANIC LIGHT-EMITTING DEVICES AND THEIR PREPARATION**(71) Applicants: **Vivian Wing Wah Yam**, Hong Kong (CN); **Kobe Man Chung Tang**, Hong Kong (CN); **Maggie Mei Yee Chan**, Hong Kong (CN); **Keith Man Chung Wong**, Hong Kong (CN)(72) Inventors: **Vivian Wing Wah Yam**, Hong Kong (CN); **Kobe Man Chung Tang**, Hong Kong (CN); **Maggie Mei Yee Chan**, Hong Kong (CN); **Keith Man Chung Wong**, Hong Kong (CN)(21) Appl. No.: **13/779,021**(22) Filed: **Feb. 27, 2013****Related U.S. Application Data**

(60) Provisional application No. 61/605,553, filed on Mar. 1, 2012.

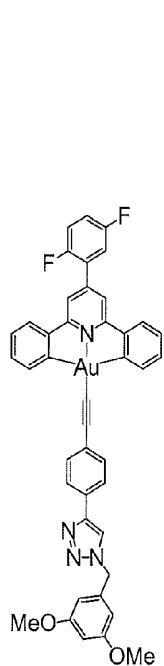
Publication Classification(51) **Int. Cl.**
H01L 51/00 (2006.01)(52) **U.S. Cl.**
CPC **H01L 51/0084** (2013.01)
USPC **257/40; 546/4**(57) **ABSTRACT**

A novel class of saturated or conjugated dendrimers containing at least one strong σ -donating group coordinated to cyclometalated tridentate gold(III) compounds having the chemical structure depicted by generic formula:

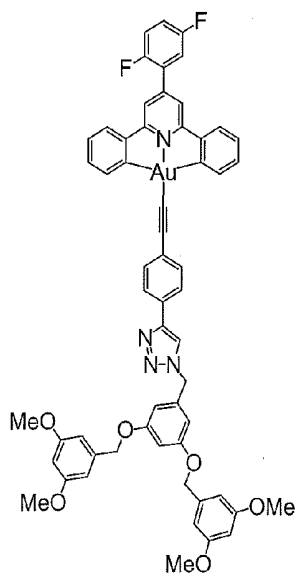


wherein:

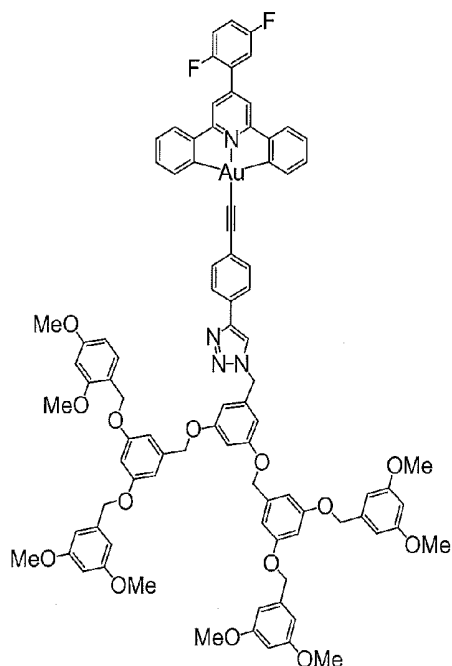
- (a) [Au] is a cyclometalated tridentate gold(III) group;
- (b) Unit A is a σ -donating chemical group;
- (c) Unit B is a central part of the dendrons comprising a branch point of component dendrimers;
- (d) Unit C is optional surface groups or dendrons of the dendrimers;
- (e) $n=0$ or 1.



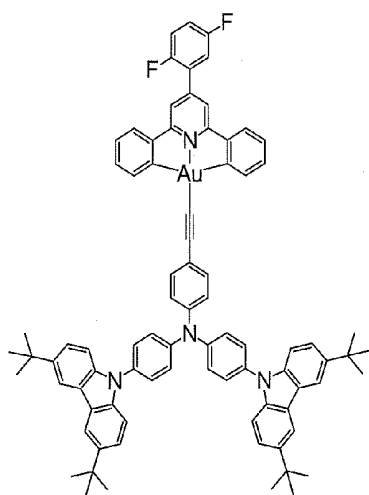
Compound 1



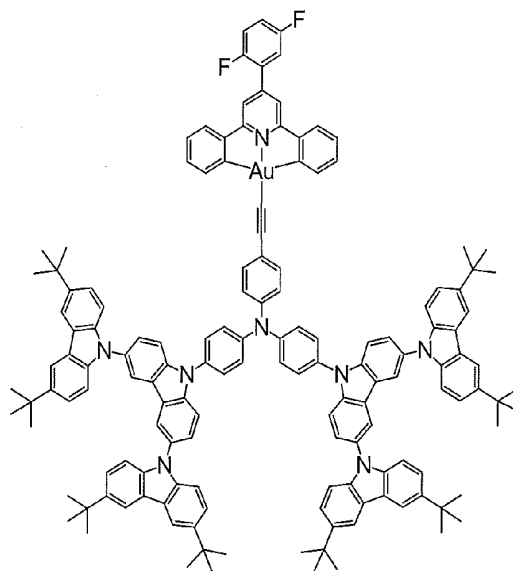
Compound 2



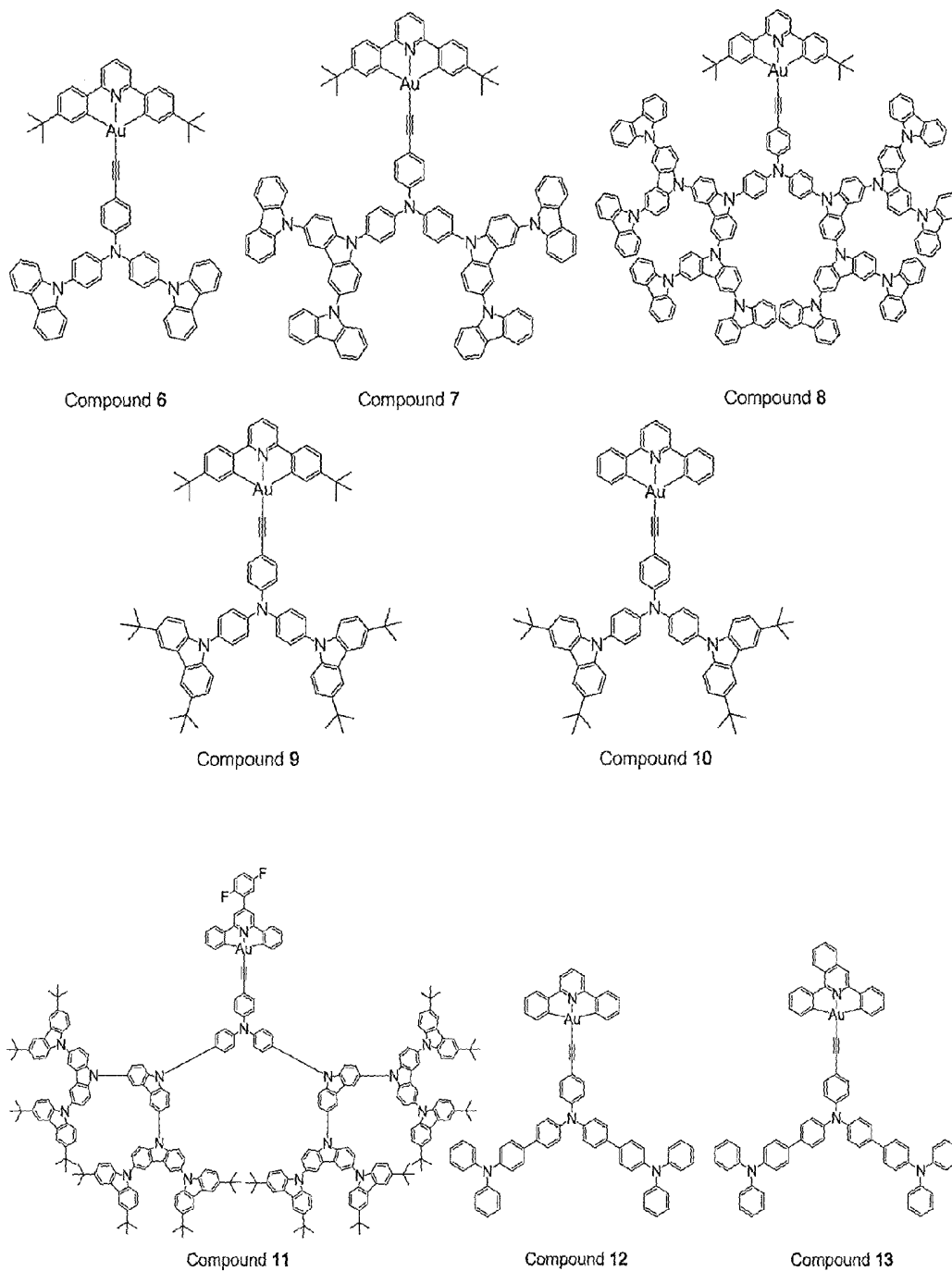
Compound 3



Compound 4



Compound 5



Scheme 1

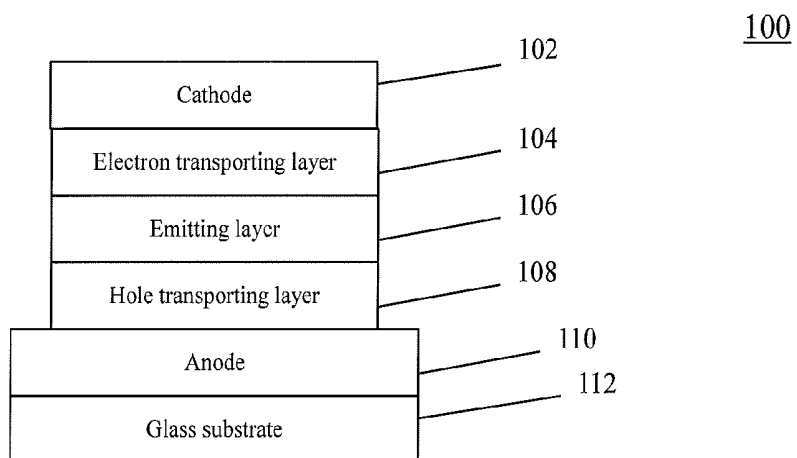


Figure 1

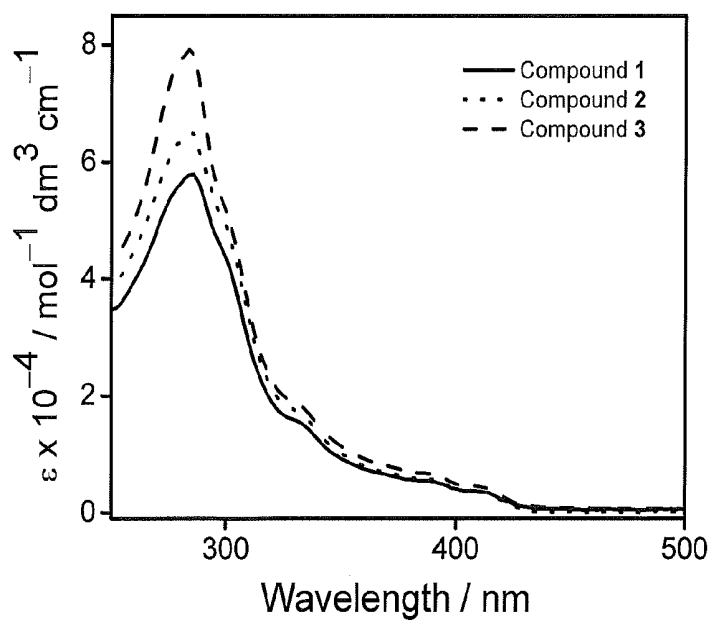


Figure 2

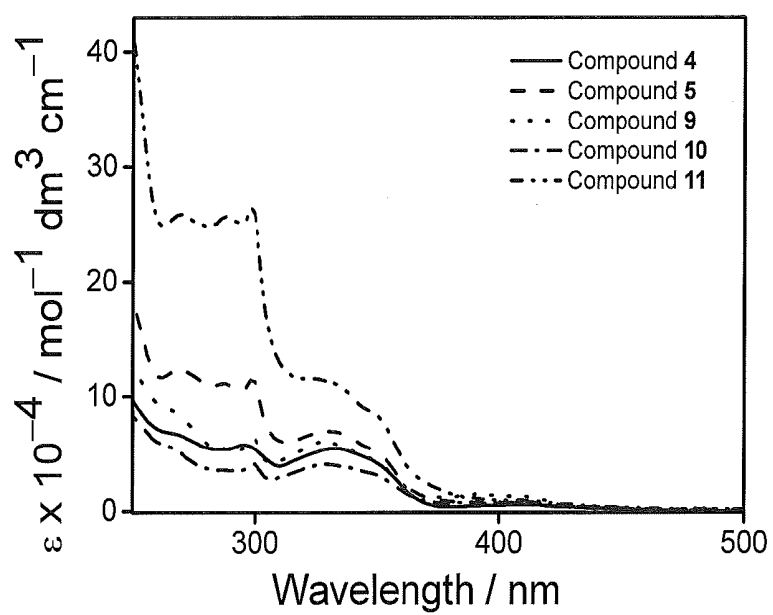


Figure 3

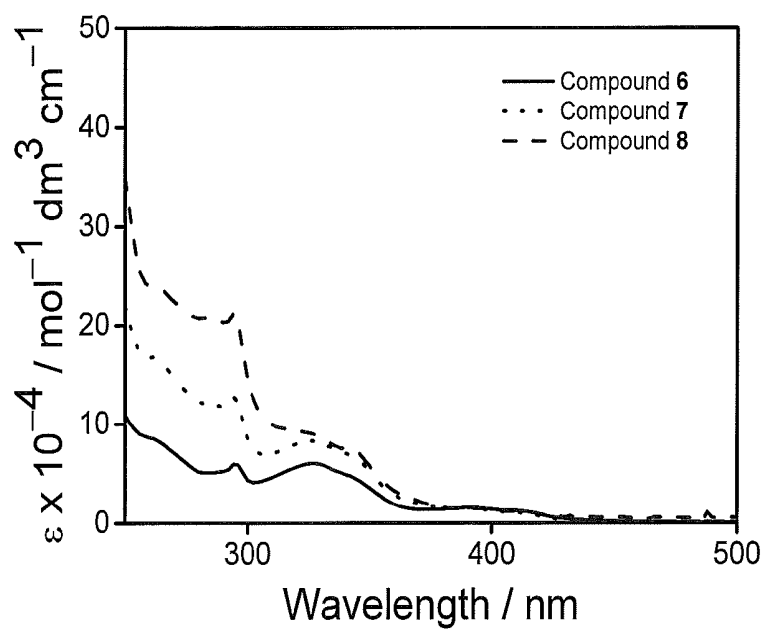


Figure 4

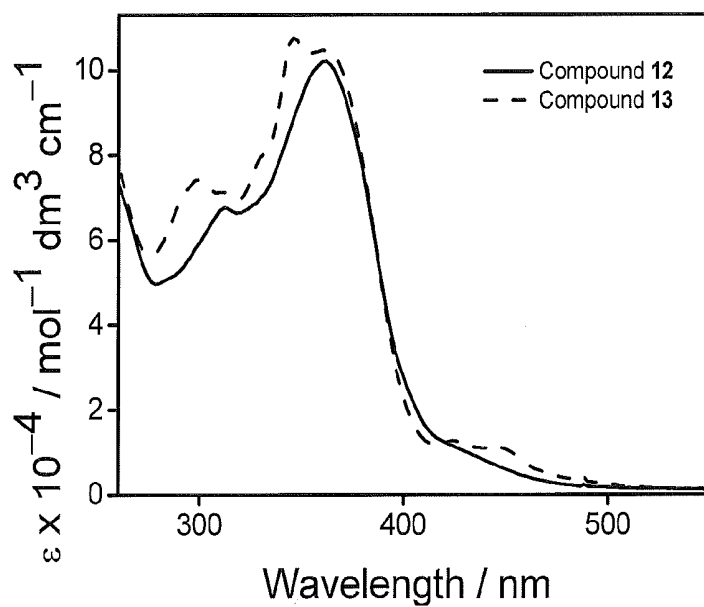


Figure 5

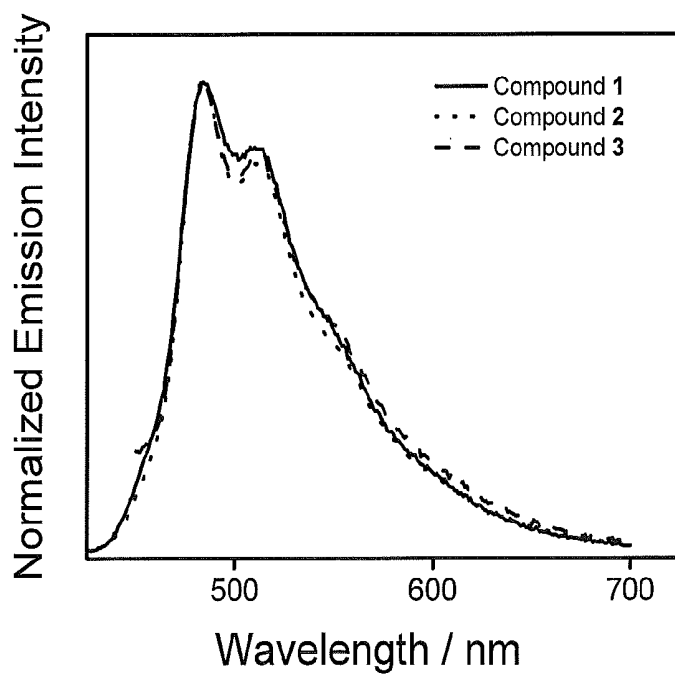


Figure 6

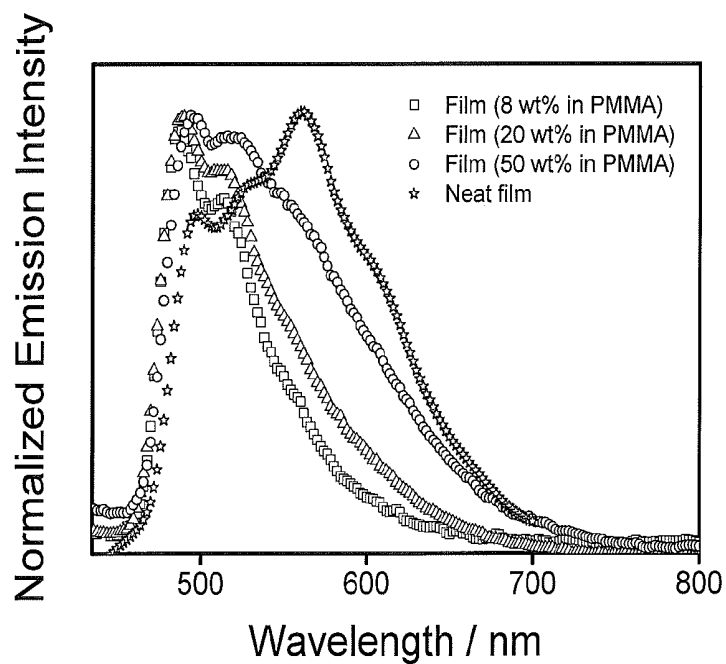


Figure 7

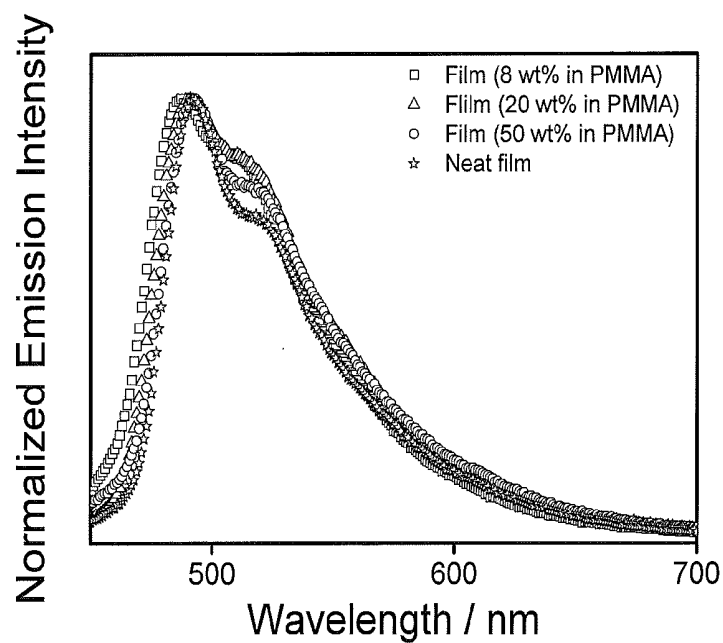


Figure 8

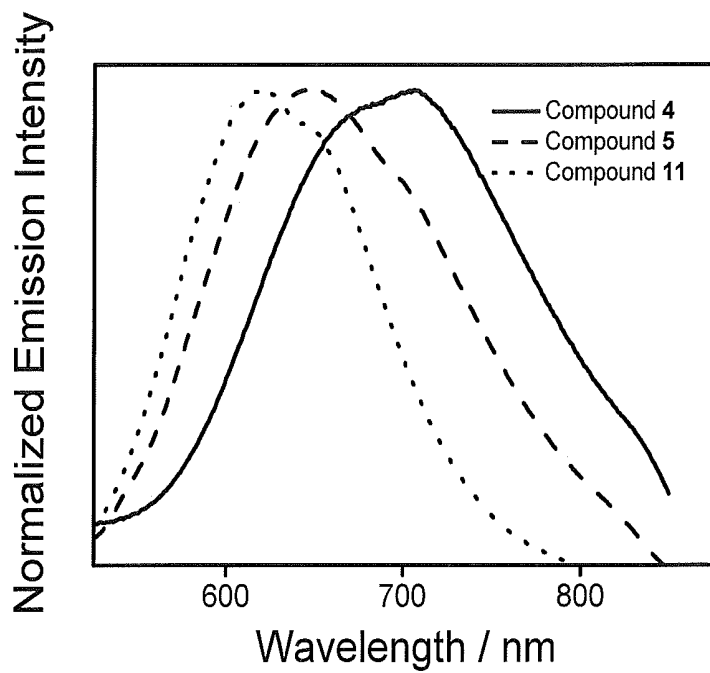


Figure 9

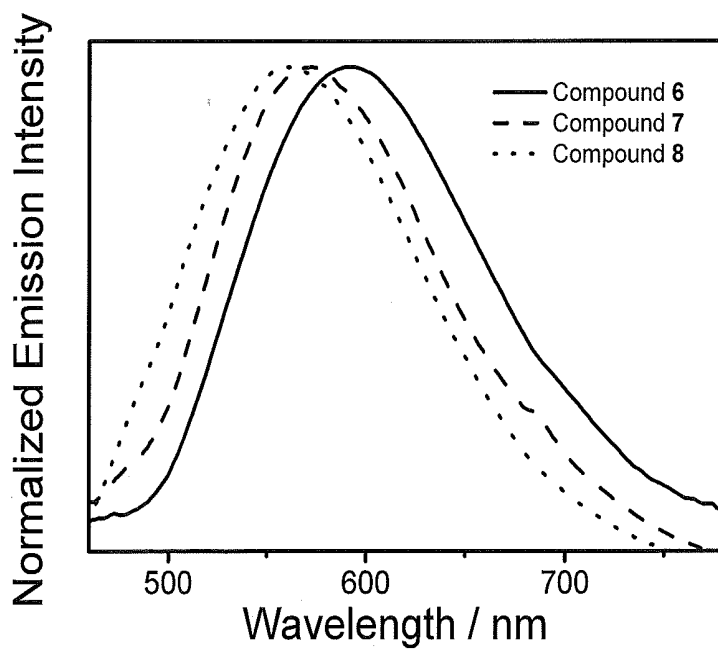


Figure 10

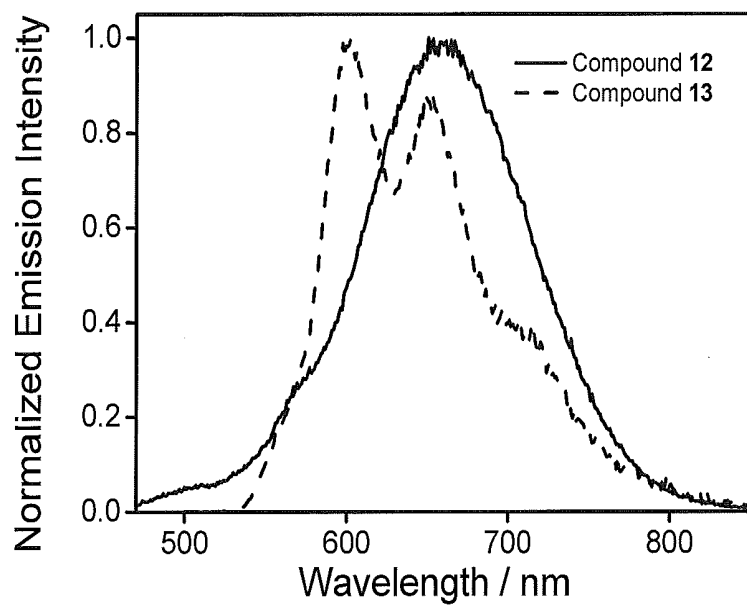


Figure 11

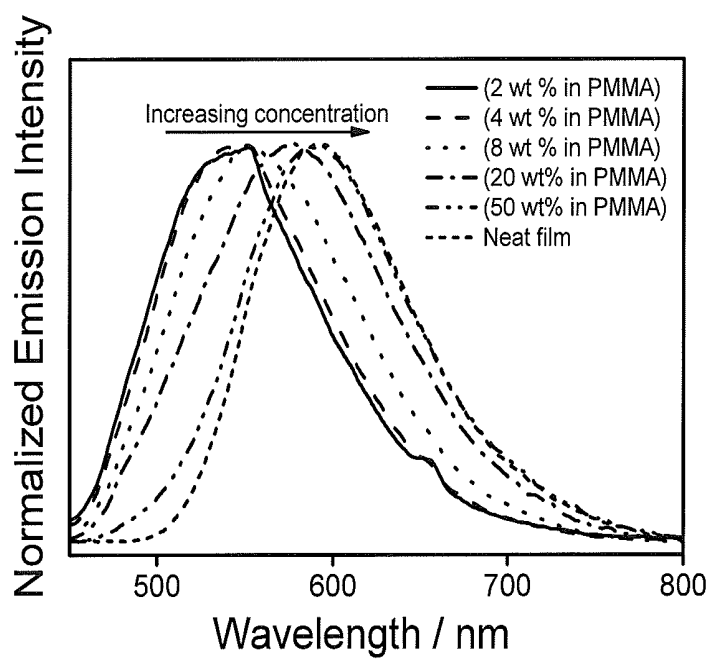


Figure 12

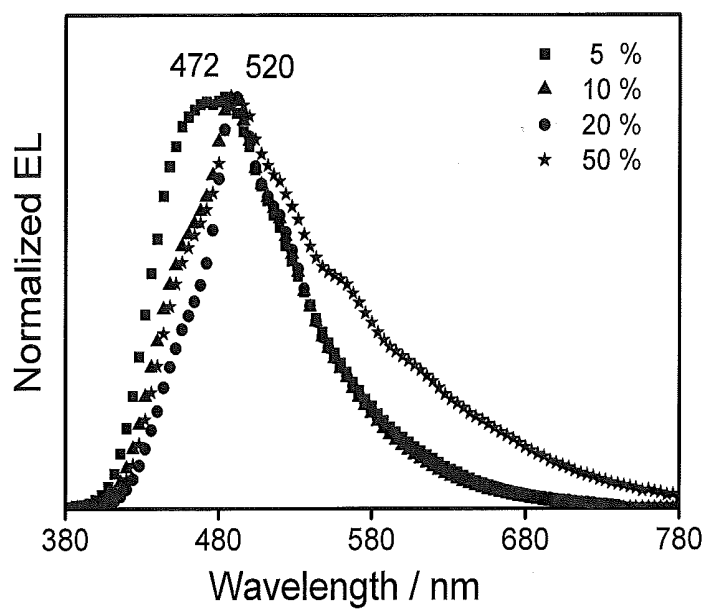


Figure 13

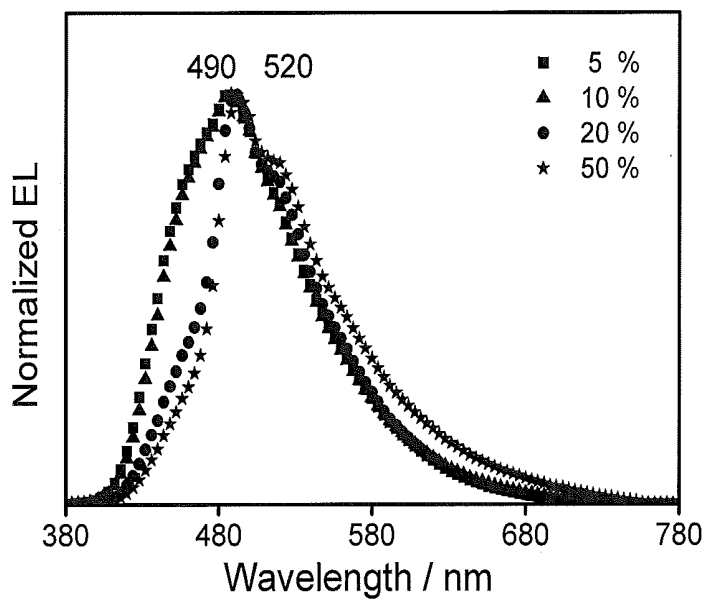


Figure 14

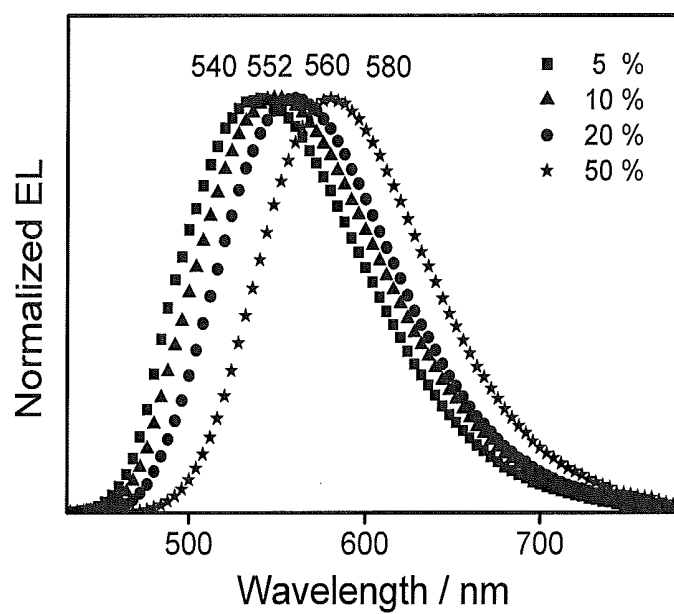


Figure 15

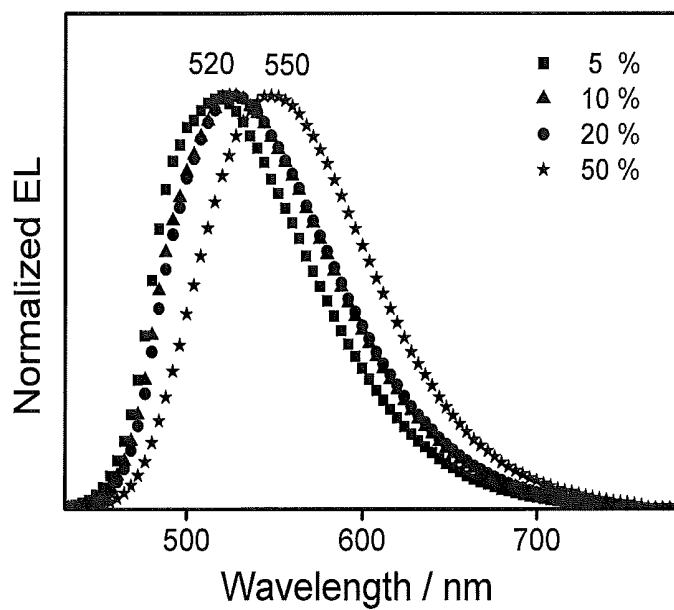


Figure 16

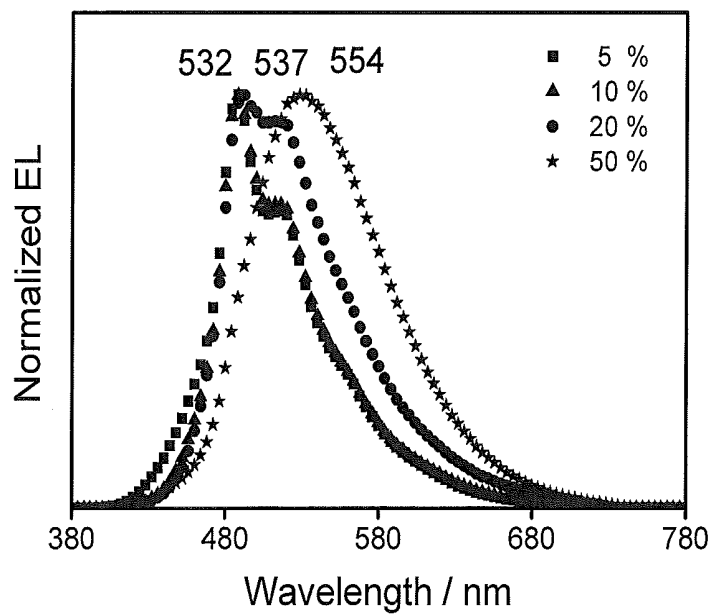


Figure 17

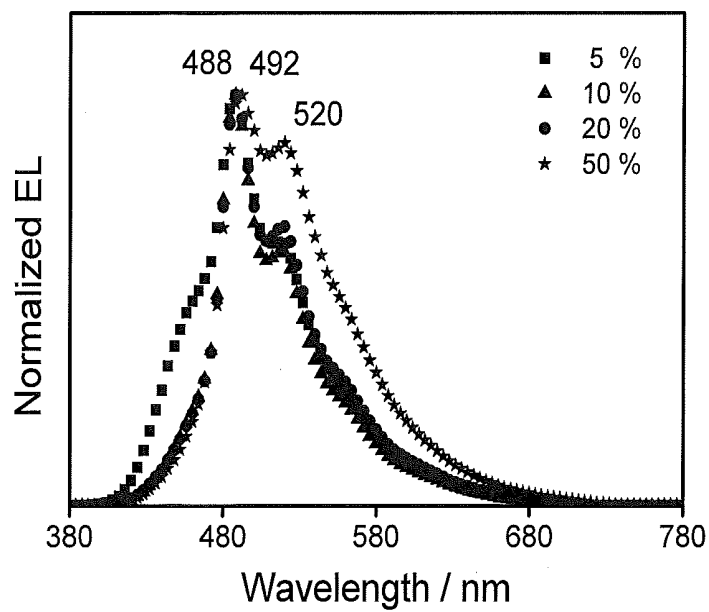


Figure 18

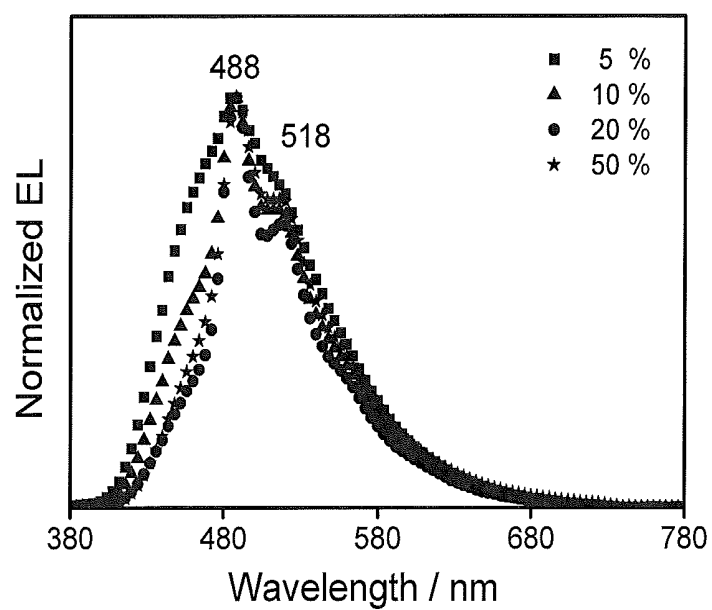


Figure 19

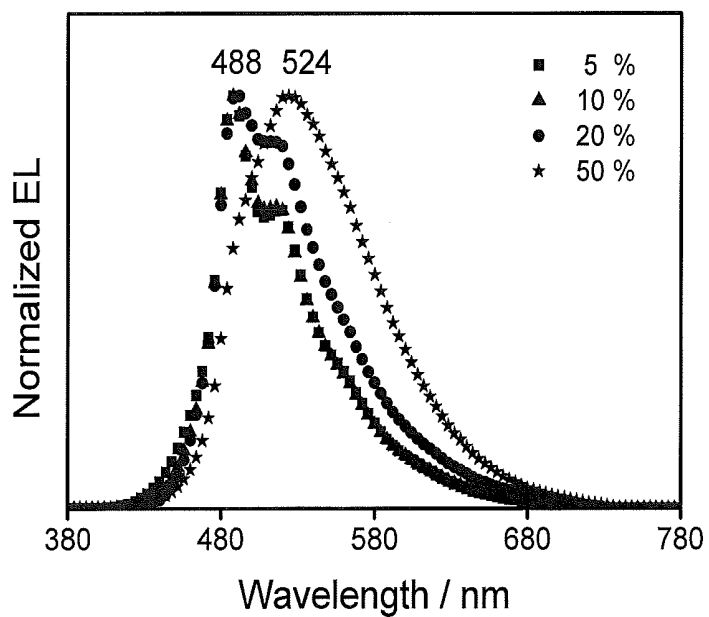


Figure 20

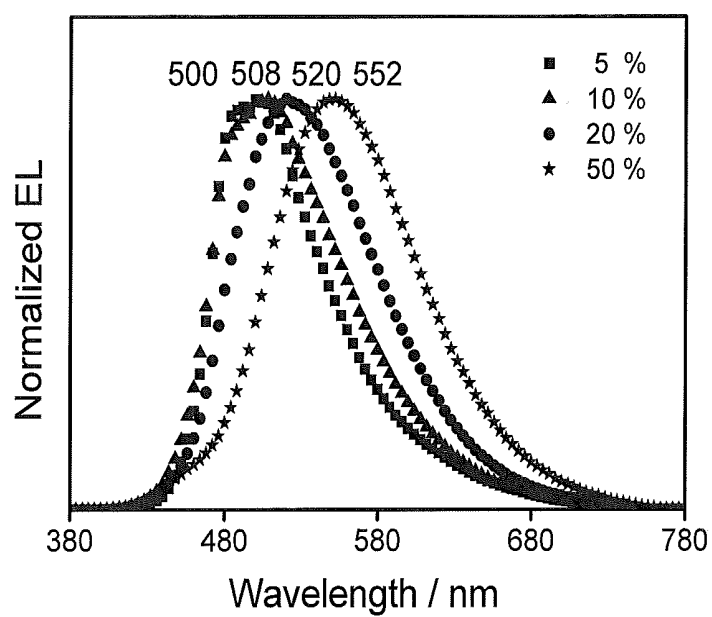


Figure 21

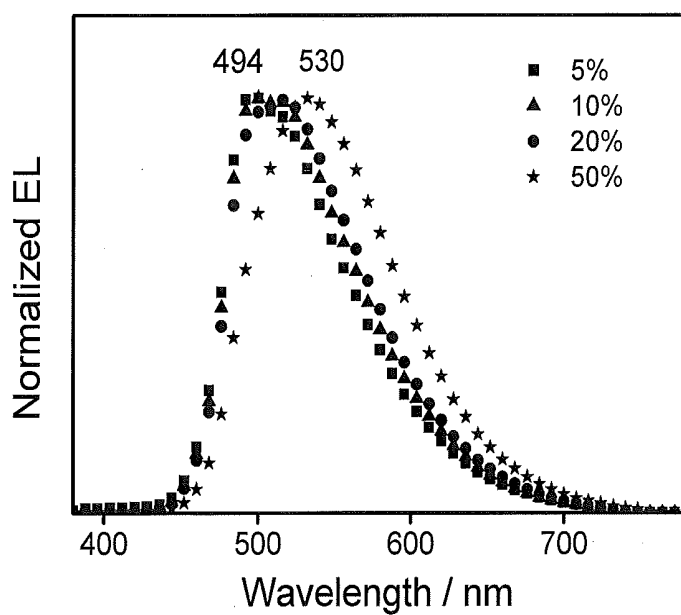


Figure 22

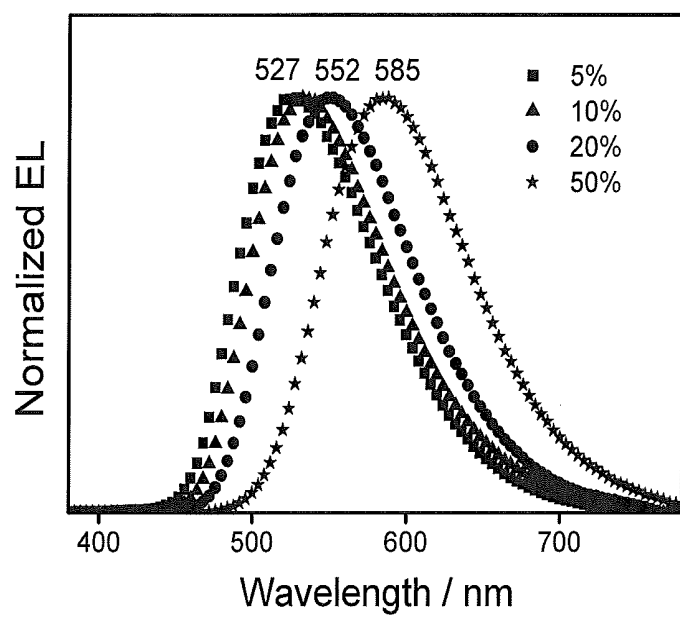


Figure 23

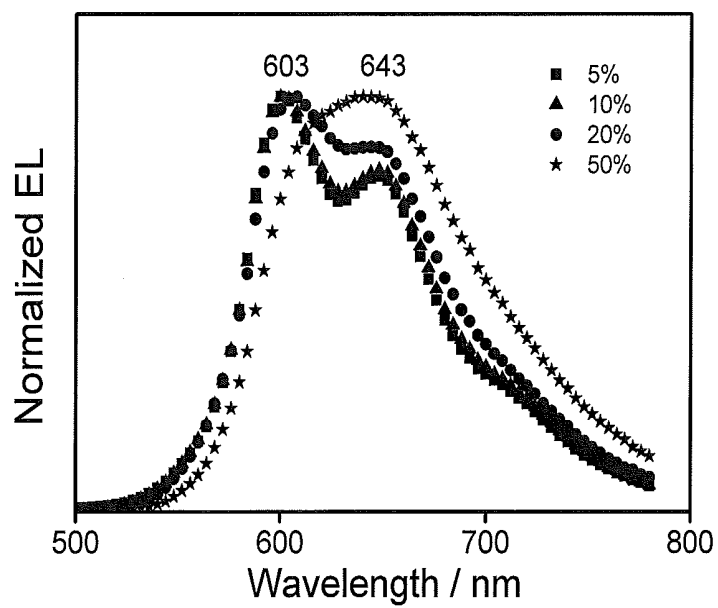


Figure 24

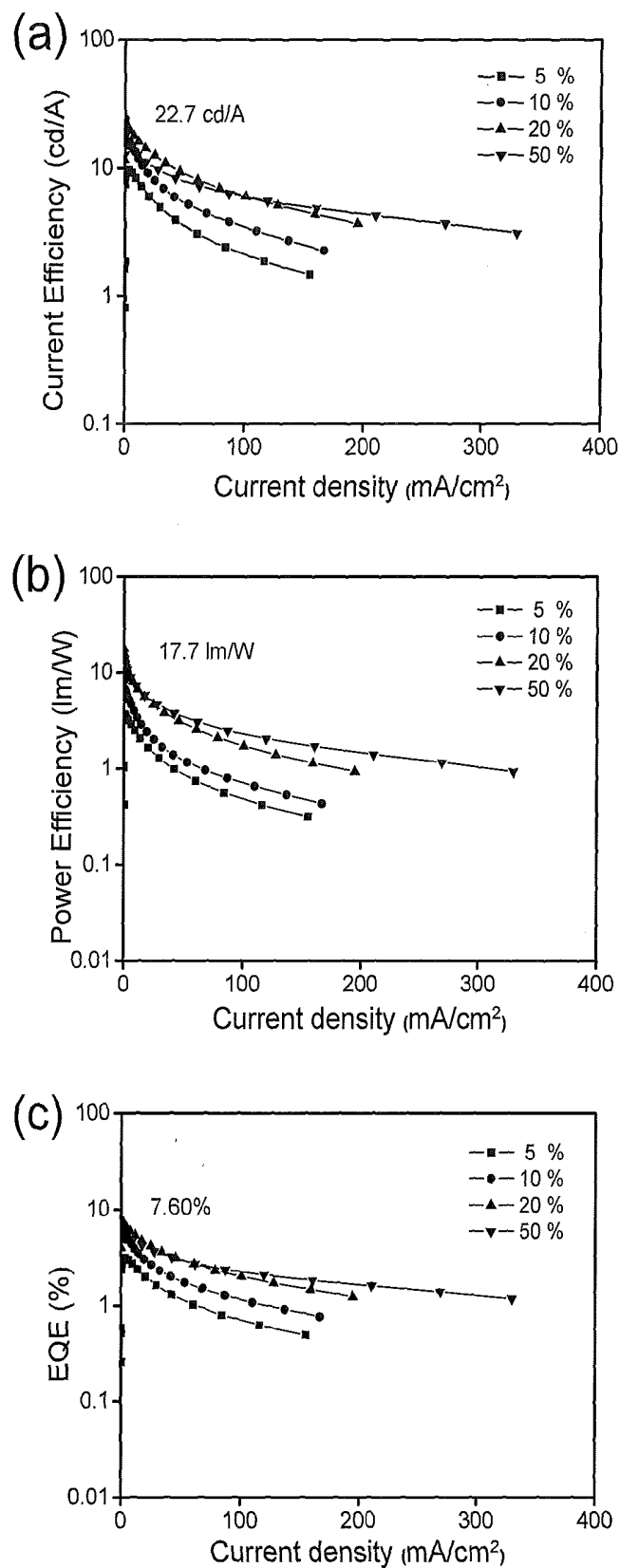


Figure 25

**DENDRIMERS CONTAINING LUMINESCENT
GOLD (III) COMPOUNDS FOR ORGANIC
LIGHT-EMITTING DEVICES AND THEIR
PREPARATION**

[0001] This non-provisional application is based on and claims priority to the U.S. Provisional Application Ser. No. 60/605,533 filed on Mar. 1, 2012.

FIELD OF THE INVENTION

[0002] Embodiments of the invention are directed to a novel class of dendrimers containing cyclometalated tridentate gold(III) compounds and the syntheses of these compounds. These solution-processable compounds can be used as light-emitting material in phosphorescent organic light-emitting devices (OLEDs).

BACKGROUND OF THE INVENTION

[0003] With the advantages of low cost, light weight, low operating voltage, high brightness, robustness, color tunability, wide viewing angle, ease of fabrication onto flexible substrates as well as low energy consumption, OLEDs are considered to be remarkably attractive candidates for flat panel display technologies and for solid-state lighting. Phosphorescent heavy metal complexes are an important class of materials in making OLEDs because of their relatively long triplet excited-state luminescence lifetimes and high luminescence quantum yields. The presence of a heavy metal center can effectively lead to a strong spin-orbit coupling and thus promotes an efficient intersystem crossing from its singlet excited state, eventually to the lowest-energy triplet excited state followed by relaxation to the ground state via phosphorescence at room temperature. This results in a four-fold enhancement on the internal quantum efficiency of the OLEDs up to 100%.

[0004] Typically an OLED consists of several layers of semiconductor sandwiched between two electrodes. The cathode is composed of a low work function metal or metal alloy deposited by vacuum evaporation, whereas the anode is a transparent conductor such as indium-tin oxide (ITO). Upon the application of a DC voltage, holes injected by the ITO anode and electrons injected by the metal cathode will recombine to form excitons. Subsequent relaxation of excitons will then result in the generation of electroluminescence.

[0005] The breakthroughs that led to the exponential growth of this field and to its first commercialized products can be traced to two pioneering demonstrations. In 1987, Tang and VanSlyke [Tang, C. W.; VanSlyke, S. A. *Appl. Phys. Lett.* 51, 913 (1987)] proposed the use of a double-layer structure of vacuum deposited, small-molecular films, in which tris(8-hydroxyquinoline)aluminum (Alq_3) was utilized both as light emitting layer and electron transporting layer. Later, the first polymeric light emitting device was pioneered by Burroughs et al. in 1990 [Burroughs, J. H.; Bradley, D. D. C.; Brown, A. R.; Marks, N.; Friend, R. H.; Burn, P. L.; Holmes, A. B. *Nature* 347, 539 (1990)], in which a yellow-green electroluminescence from poly(p-phenylenevinylene) (PPV) was achieved. Since then, a number of new electroluminescent small molecular based and polymeric

light emitting materials have been investigated with improved light emitting properties. The key advantage of using polymers as light emitting materials is that they are highly soluble in most organic solvents, and the devices can be easily fabricated by using low-cost and efficient wet processing techniques, such as spin-coating, screen-printing, or ink-jet printing [Burrows, P. E.; Forrest, S. R.; Thompson, M. E. *Curr. Opin. Solid State Mat. Sci.* 2, 236 (1997)].

[0006] Apart from the development of small molecular and polymeric materials, recent demonstrations of the design and synthesis of dendrimers as light emitting materials provide new and interesting observations. Dendrimers are branched macromolecules consisting of repetitive units (dendrons) having a well-defined size and number of peripheral groups. These materials are typically comprised of three parts: a core unit, surrounding dendrons, and peripheral groups. The branching levels of the surrounding dendrons determine the dendrimer generation, in which the peripheral groups attached onto the surface of the surrounding dendrons can control the intermolecular interactions, solubility, viscosity and processability of the dendrimers. The emissive chromophores of the dendrimers can be located at the core of the dendrimer, within the surrounding dendrons or at the peripheral groups of the dendrimers. Typically, emissive chromophores are attached at the core units. In general, dendrimers can be divided into two classes, conjugated dendrons and saturated dendrons. The branching point of the conjugated dendrons or dendrimers must be fully conjugated but not essentially delocalized [Burn, P. L.; Lo, S. C.; Samuel, I. D. W. *Adv. Mater* 19, 1675 (2007)].

[0007] The distinct properties of dendrimers allow them to be good candidates in making OLEDs. Unlike polymers, dendrimers show a well-defined structure and precise molecular weight, in which the purity of the products can be well controlled and are reproducible; both of which are crucial factors for commercialization. In addition, their high solubility in most organic solvents opens up a possibility to fabricate the devices by solution-processed techniques such as spin-coating and ink jet printing. Such techniques not only are essential for the patterning of large-area displays and solid-state lighting panels, but also avoid the use of the expensive high-temperature vacuum evaporation techniques that are needed for preparing small molecular based OLEDs. More importantly, the dendrimer generation can control the intermolecular interactions. Intermolecular interactions are well-known to have an influence on the efficiency of OLEDs. Indeed, many emitters show strong luminescent properties in solution. However, the strong intermolecular interactions present in the solid state lead to the formation of dimers, excimers or aggregates that lower the efficiency of the OLEDs. At high current density, triplet-triplet annihilation tends to further lower performance. In view of these properties, the introduction of bulky peripheral groups can keep the molecules apart and thereby avoid these problems. Furthermore, the color of light emission can be fine-tuned by simply choosing different combinations of the cores, dendrimers, and type of peripheral groups. For instance, compounds with the same branching level of surrounding dendrons and sur-

face groups attached to different cores can lead to different color emission. The glass transition temperature of these macromolecules is usually high, giving a good operation stability to the devices [Liu, D.; Li, J. Y. *J. Mater. Chem.* 19, 7584 (2009)].

[0008] The first OLED with dendrimers as light emitting materials was demonstrated by Wang et al. [Wang, P. W.; Liu, Y. J.; Devadoss, C.; Bharathi, P.; Moore, J. S. *Adv. Mater* 8, 237 (1996)]. These dendrimers contained a highly fluorescent core, 9,10-bis(phenylethynyl)-anthracene, phenylacetylene as surrounding dendrons for electron capture, and tertiary butyl groups as the peripheral groups that maintained its solubility. Such devices exhibited two major photoluminescence bands at 480 and 510 nm, and a broad, structureless emission band at 600 nm; however, the device performance was rather low and indeed no efficiency data was reported. Later, Halim et al. reported a family of conjugated light emitting dendrimers based on PPV structure [Halim, M.; Pillow, N. G; Samuel, I. D. W.; Burn, P. L. *Adv. Mater* 11, 371 (1999)]. These dendrimers consisted of a distyrylbenzene core for blue color emission, stilbene dendrons, and t-butyl peripheral groups for solution processing properties. All three generations of dendrimers could be spin-coated from a chloroform solution to form amorphous thin films that produced a blue emission in the photoluminescence spectrum. A red shift was observed in the electroluminescence spectrum for the first generation dendrimer. With increasingly bulky groups to form different generations of dendrimers, concentration quenching effects were substantially suppressed. This demonstrated that dendrimers can effectively prevent the intermolecular interactions and the formation of dimers, excimers or aggregates.

[0009] While solution-processable fluorescent OLEDs have been realized, their efficiencies are usually quite low, and can be as low as 0.1%. In order to improve the device performance, it is desirable to make use of spin-orbit coupling in order to mix both singlet and triplet excited states. Hence, the use of heavy metal complexes in OLEDs is preferred over purely organic materials. Recently, a series of green-emitting carbazole conjugated dendrimers containing iridium(III) complexes have been reported by Ding et al. [Ding, J. Q.; Gao, J.; Cheng, Y.; Xie, Z.; Wang, L. X.; Ma, D.; Jing, X. B.; Wang, F. S. *Adv. Funct. Mater.* 16, 571 (2006)]. By taking advantage of the dendritic structure(s), high solubility, non-doped, low cost solution-processable OLEDs had been achieved. By increasing the size of the dendrons, the intermolecular interactions can be significantly reduced, and good hole-transporting properties of carbazoles can be obtained. Superior device performance including peak external quantum efficiency (EQE) of 10.3% and current efficiency (CE) of 34.7 cd A⁻¹ were achieved for a non-doped green OLED. Red-emitting triphenylamine dendrimers containing iridium (III) complexes were also reported in 2007 [Zhou, G. J.; Wang, W. Y.; Yao, B.; Xie, Z. Y.; Wang, L. X. *Angew. Chem. Int. Ed.* 46, 1149 (2007).] The extended π -conjugated system of triphenylamine dendrons raises the highest occupied molecular orbital (HOMO) level, and the electron-rich triphenylamine moieties facilitate an efficient hole injection from

the anode. High EQE and CE of the devices of 7.4% and 3.7 cd A⁻¹, respectively, were reached, even higher or comparable to the vacuum deposited devices with similar Commission Internationale de L'Eclairage (CIE) color. This indicates that dendrimers are one of the promising light emitting materials for solution-processable OLEDs.

[0010] Even though there has been an increased interest in electrophosphorescent materials, particularly metal complexes with heavy metal centers, most of the development work has been focused on the use of iridium(III), platinum(II) and ruthenium(II), whereas the use of other metal centers has been much less explored. In contrast to the isoelectronic platinum(II) compounds which are known to exhibit rich luminescence properties, very few examples of luminescent gold(III) complexes have been reported, probably due to the presence of low-energy d-d ligand field (LF) states and the electrophilicity of the gold(III) metal center. One way to enhance the luminescence of gold(III) complexes is through the introduction of strong σ -donating ligands, which was first demonstrated by Yam et al. in which stable gold(III) aryl compounds were synthesized and found to display interesting photoluminescence properties even at room temperature [Yam, V. W. W.; Choi, S. W. K.; Lai, T. F.; Lee, W. K. *J. Chem. Soc., Dalton Trans.* 1001 (1993)]. Afterward, Yam et al. synthesized a series of bis-cyclometalated alkynylgold(III) compounds using various strong σ -donating alkynyl ligands, and all these compounds were found to exhibit rich luminescence behaviors at both room and low temperatures in various media [Yam, V. W.-W.; Wong, K. M.-C.; Hung, L.-L.; Zhu, N. *Angew. Chem. Int. Ed.* 44, 3107 (2005); Wong, K. M.-C.; Hung, L.-L.; Lam, W. H.; Zhu, N.; Yam, V. W.-W. *J. Am. Chem. Soc.* 129, 4350 (2007); Wong, K. M.-C.; Zhu, X.; Hung, L.-L.; Zhu, N.; Yam, V. W.-W.; Kwok, H. S. *Chem. Commun.* 2906 (2005)]. Very recently, a new class of phosphorescent material of cyclometalated alkynylgold(III) complexes has been reported and fabricated by vapor deposition [Au, V. K.-M.; Wong, K. M.-C.; Tsang, D. P.-K.; Chan, M. Y.; Yam, V. W.-W. *J. Am. Chem. Soc.* 132, 14273 (2010)]. The optimized OLED reached a EQE of 11.5% and CE of 37.4 cd A⁻¹. This suggested that the alkynylgold(III) complexes are promising phosphorescent materials in terms of efficiency and thermal stability.

[0011] The present invention discloses herein the design, synthesis and photoluminescence behaviour of luminescent gold(III) dendrimers, and their device fabrication using solution-processing techniques to produce high efficiency dendrimer OLEDs. These devices combine saturated and conjugated dendrimers, containing at least one strong σ -donating group and a cyclometalated tridentate gold (III) compound. These novel devices can be fabricated using low cost, high efficiency, solution-processing techniques to obtain phosphorescence-based OLEDs that do not exhibit the limitations of known OLEDs.

BRIEF SUMMARY OF THE INVENTION

[0012] Embodiments of the invention are directed to novel luminescent cyclometalated gold(III) dendrimers and their

preparation. Other embodiments of the invention are directed to OLEDs from the novel luminescent gold(III) dendrimers.

[0013] The novel luminescent gold(III) dendrimers are either saturated or conjugated dendrimers containing one strong σ -donating group coordinated to a gold(III) metal center.

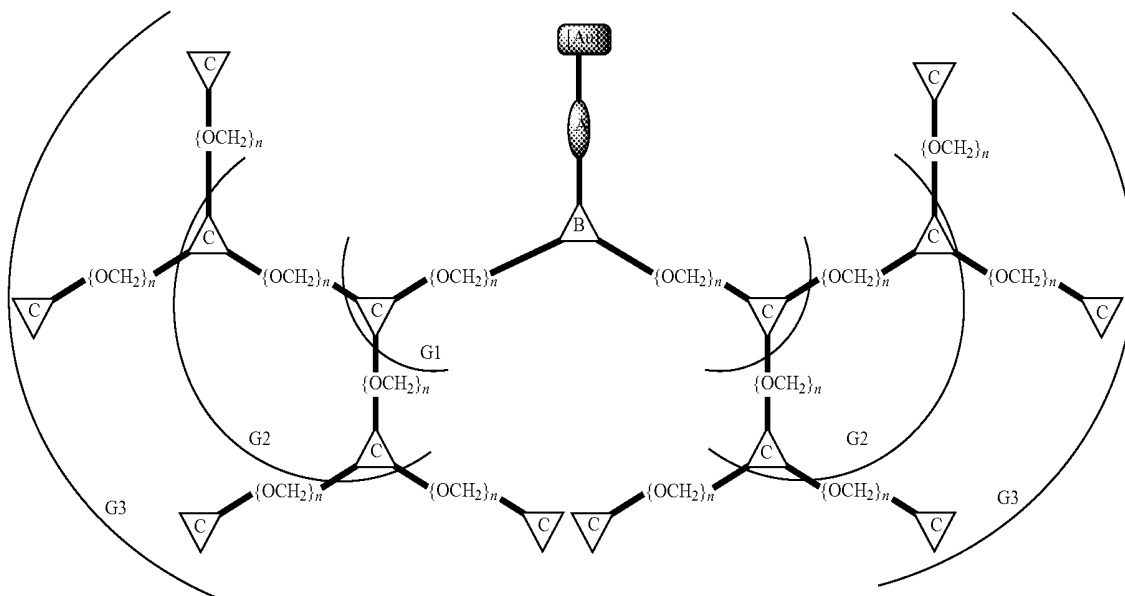
[0014] The novel luminescent gold(III) dendrimers have the chemical structure shown in the generic formula,

transporting layer **108**, and anode **110** deposited on glass substrate **112**.

BRIEF DESCRIPTION OF THE DRAWINGS

[0022] Scheme 1 shows the chemical structures of compounds 1-13.

[0023] FIG. 1 is a schematic diagram of the basic structure of an organic EL device, in accordance with an embodiment of the present invention.



wherein:

- [0015]** (a) [Au] is a cyclometalated tridentate gold(III) group;
- [0016]** (b) Unit A is a σ -donating chemical group;
- [0017]** (c) Unit B is a central part of the dendrons comprising a branch point of component dendrimers;
- [0018]** (d) Unit C is optional surface groups or dendrons of the dendrimers;
- [0019]** (e) $n=0$ or 1.

[0020] In accordance with the present invention, these novel luminescent gold(III) dendrimers, show either strong photoluminescence via a triplet excited state upon photo-excitation, or electroluminescence via a triplet exciton upon applying a DC voltage. These compounds according to embodiments of the invention are highly soluble in common organic solvents such as dichloromethane and chloroform. Alternatively, the compounds can be doped into a host matrix for thin film deposition by spin-coating or ink-jet printing or other known fabrication methods. In some embodiments of the compounds can be used for the fabrication of OLEDs as phosphorescent emitters or dopants to generate electroluminescence.

[0021] As shown in FIG. 1, according to one embodiment of the present invention, the cyclometalated gold(III) dendrimers are included in light-emitting layer **106** of OLED **100**. OLED **100** further comprises a layered structure having cathode layer **102**, electron transporting layer **104**, luminescent gold(III) compound as light-emitting layer **106**, hole-

[0024] FIG. 2 shows the UV-Visible absorption spectra of compounds 1-3 in dichloromethane at 298 degrees K, in accordance with an embodiment of the present invention.

[0025] FIG. 3 shows the UV-Visible absorption spectra of compounds 4, 5, 9, 10 and 11 in dichloromethane at 298 degrees K, in accordance with an embodiment of the present invention.

[0026] FIG. 4 shows the UV-Visible absorption spectra of compounds 6-8 in dichloromethane at 298 degrees K, in accordance with an embodiment of the present invention.

[0027] FIG. 5 shows the UV-Visible absorption spectra of compounds 12 and 13 in dichloromethane at 298 degrees K, in accordance with an embodiment of the present invention.

[0028] FIG. 6 shows the normalized photoluminescence spectra of compounds 1-3 in dichloromethane at 298 degrees K in accordance with an embodiment of the present invention. No instrumental correction was applied for the emission wavelength.

[0029] FIG. 7 shows the normalized photoluminescence spectra of thin films of compound 2 doped into PMMA at different concentrations (wt %) at 298 degrees K, in accordance with an embodiment of the present invention. No instrumental correction was applied for the emission wavelength.

[0030] FIG. 8 shows the normalized photoluminescence spectra of thin films of compound 3 doped into PMMA at different concentrations (wt %) at 298 degrees K, in accordance with an embodiment of the present invention. No instrumental correction was applied for the emission wavelength.

[0031] FIG. 9 shows the photoluminescence spectra of compounds 4, 5 and 11 in dichloromethane at 298 degrees K, in accordance with an embodiment of the present invention. No instrumental correction was applied for the emission wavelength.

[0032] FIG. 10 shows the normalized photoluminescence spectra of compounds 6-8 in dichloromethane at 298 degrees K, in accordance with an embodiment of the present invention. No instrumental correction was applied for the emission wavelength.

[0033] FIG. 11 shows the normalized photoluminescence spectra of compounds 12 and 13 in dichloromethane at 298 degrees K, in accordance with an embodiment of the present invention. No instrumental correction was applied for the emission wavelength.

[0034] FIG. 12 shows the normalized photoluminescence spectra of thin films of compound 4 doped into PMMA at different concentrations (wt %) at 298 degrees K, in accordance with an embodiment of the present invention. No instrumental correction was applied for the emission wavelength.

[0035] FIG. 13 shows the electroluminescence spectra of a device with compound 2 doped into MCP as the light-emitting layer, in accordance with an embodiment of the present invention.

[0036] FIG. 14 shows the electroluminescence spectra of a device with compound 3 doped into MCP as the light-emitting layer, in accordance with an embodiment of the present invention.

[0037] FIG. 15 shows the electroluminescence spectra of device with compound 4 doped into MCP as the light-emitting layer, in accordance with an embodiment of the present invention.

[0038] FIG. 16 shows the electroluminescence spectra of a device with compound 5 doped into MCP as the light-emitting layer, in accordance with an embodiment of the present invention.

[0039] FIG. 17 shows the electroluminescence spectra of a device with compound 6 doped into MCP as the light-emitting layer, in accordance with an embodiment of the present invention.

[0040] FIG. 18 shows the electroluminescence spectra of a device with compound 7 doped into MCP as the light-emitting layer, in accordance with an embodiment of the present invention.

[0041] FIG. 19 shows the electroluminescence spectra of a device with compound 8 doped into MCP as the light-emitting layer, in accordance with an embodiment of the present invention.

[0042] FIG. 20 shows the electroluminescence spectra of a device with compound 9 doped into MCP as the light-emitting layer, in accordance with an embodiment of the present invention.

[0043] FIG. 21 shows the electroluminescence spectra of device with compound 10 doped into MCP as the light-emitting layer, in accordance with an embodiment of the present invention.

[0044] FIG. 22 shows the electroluminescence spectra of device with compound 11 doped into MCP as the light-emitting layer, in accordance with an embodiment of the present invention.

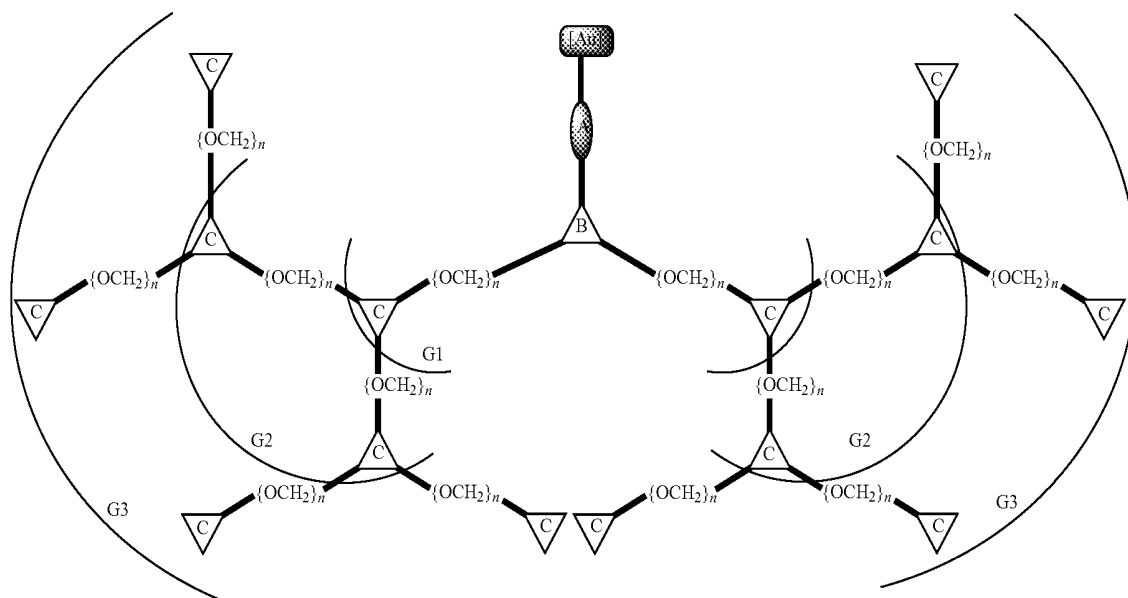
[0045] FIG. 23 shows the electroluminescence spectra of device with compound 12 doped into MCP as the light-emitting layer, in accordance with an embodiment of the present invention.

[0046] FIG. 24 shows the electroluminescence spectra of device with compound 13 doped into MCP as the light-emitting layer, in accordance with an embodiment of the present invention.

[0047] FIG. 25 shows a) current efficiency, b) power efficiency and c) external quantum efficiency of the device with compound 4 doped into MCP as the light-emitting layer, in accordance with an embodiment of the present invention.

DETAILED DESCRIPTION OF THE INVENTION

[0048] (1) The present invention is directed to the synthesis and luminescence studies of novel classes of saturated and conjugated dendrimers containing one strong σ -donating group coordinated to the cyclometalated tridentate gold (III) metal centre. The compounds have the chemical structure shown in generic formula,



wherein:

- [0049]** (a) [Au] is a cyclometalated tridentate gold(III) group;
- [0050]** (b) Unit A is a σ -donating chemical group;
- [0051]** (c) Unit B is a central part of the dendrons comprising a branch point of component dendrimers;
- [0052]** (d) Unit C is optional surface groups or dendrons of the dendrimers;
- [0053]** (e) $n=0$ or 1.
- [0054]** Unit A is selected from, but is not limited to, alkylalkynyl, substituted alkylalkynyl, arylalkynyl, substituted arylalkynyl, heteroarylalkynyl and substituted heteroarylalkynyl.
- [0055]** Units B and C are benzene, phenyl derivatives, pyridine or pyridyl derivatives, or other related compounds, optionally with one or more alkyl, alkenyl, alkynyl, alkylaryl, cycloalkyl, OR, NR_2 , SR, $\text{C}(\text{O})\text{R}$, $\text{C}(\text{O})\text{OR}$, $\text{C}(\text{O})\text{NR}_2$, CN, CF_3 , NO_2 , SO_2 , SOR, SO_3R , halo, aryl, substituted aryl, heteroaryl, substituted heteroaryl, heterocyclic group.
- [0056]** In the present disclosure the following terms are used.
- [0057]** The term “optional” or “optionally” means that the subsequently described event or circumstance may or may not occur, and that the description includes instances where said event or circumstance occurs and instances in which it does not. For example, “optionally substituted alkyl” includes “alkyl” and “substituted alkyl,” as defined below.
- [0058]** The term “halo” or “halogen” as used herein includes fluorine, chlorine, bromine and iodine.
- [0059]** The term “alkyl” as used herein includes straight and branched chain alkyl groups, as well as cycloalkyl groups with alkyl groups having a cyclic structure. Preferred alkyl groups are those containing between one to eighteen carbon atoms and includes methyl, ethyl, propyl, isopropyl, butyl, isobutyl, tert-butyl, and other similar compounds. In addition, the alkyl group may be optionally substituted with one or more substituents selected from OR, NR_2 , SR, $\text{C}(\text{O})\text{R}$, $\text{C}(\text{O})\text{OR}$, $\text{C}(\text{O})\text{NR}_2$, CN, CF_3 , NO_2 , SO_2 , SOR, SO_3R , halo and cyclic-amino.
- [0060]** The term “alkenyl” as used herein includes both straight and branched chain alkene radicals. Preferred alkenyl groups are those containing between two and eighteen carbon atoms. In addition, the alkenyl group may be optionally substituted with one or more substituents selected from OR, NR_2 , SR, $\text{C}(\text{O})\text{R}$, $\text{C}(\text{O})\text{OR}$, $\text{C}(\text{O})\text{NR}_2$, CN, CF_3 , NO_2 , SO_2 , SOR, SO_3R , halo and cyclic-amino.
- [0061]** The term “alkynyl” as used herein includes both straight and branched chain alkyne radicals. Preferred alkynyl groups are those containing between two and eighteen carbon atoms. In addition, the alkynyl group may be optionally substituted with one or more substituents selected from OR, NR_2 , SR, $\text{C}(\text{O})\text{R}$, $\text{C}(\text{O})\text{OR}$, $\text{C}(\text{O})\text{NR}_2$, CN, CF_3 , NO_2 , SO_2 , SOR, SO_3R , halo and cyclic-amino.
- [0062]** The term “arylalkynyl” as used herein includes an alkynyl group which has an aromatic group as a substituent. In addition, the arylalkynyl group may be optionally substituted with one or more substituents selected from OR, NR_2 , SR, $\text{C}(\text{O})\text{R}$, $\text{C}(\text{O})\text{OR}$, $\text{C}(\text{O})\text{NR}_2$, CN, CF_3 , NO_2 , SO_2 , SOR, SO_3R , halo and cyclic-amino.
- [0063]** The term “alkylaryl” as used herein includes an alkyl group which has an aromatic group as a substituent. In addition, the alkylaryl group may be optionally substituted

with one or more substituents selected from OR, NR_2 , SR, $\text{C}(\text{O})\text{R}$, $\text{C}(\text{O})\text{OR}$, $\text{C}(\text{O})\text{NR}_2$, CN, CF_3 , NO_2 , SO_2 , SOR, SO_3R , halo and cyclic-amino.

[0064] Aryl alone or in combination includes carbocyclic aromatic systems. The systems may contain one, two or three rings wherein each ring may be attached together in a pendant manner or may be fused. Preferably the rings are 5- or 6-membered rings.

[0065] Heteroaryl alone or in combination includes heterocyclic aromatic systems. The systems may contain one, two or three rings wherein each ring may be attached together in a pendant manner or may be fused. Preferably the rings are 5- or 6-membered rings.

[0066] Heterocyclic and heterocycle refer to a 3 to 7-membered ring containing at least one heteroatom. This includes aromatic rings including but not limited to pyridine, thiophene, furan, pyrazole, imidazole, oxazole, isoxazole, thiazole, isothiazole, pyrrole, pyrazine, pyridazine, pyrimidine, benzimidazole, benzofuran, benzothiazole, indole, naphthalene, triazole, tetrazole, pyran, thiapyran, oxadiazole, triazine, tetrazine, carbazole, dibenzothiophene, dibenzofuran, fluorine, and non-aromatic rings including but not limited to piperazine, piperidine, and pyrrolidine. The groups of the present invention can be substituted or unsubstituted. Preferred substituents include but are not limited to alkyl, alkoxy, aryl.

[0067] Heteroatom refers to S, O, N, P.

[0068] Substituted refers to any level of substitution although mono-, di- and tri-substitutions are preferred. Preferred substituents include hydrogen, halogen, aryl, alkyl and heteroaryl.

[0069] Cyclometalated ligand is a term well known in the art and includes but is not limited to 2,6-diphenylpyridine ($\text{C}^{\text{N}}\text{C}^{\text{C}}$), 2,6-bis(4-tert-butylphenyl)pyridine ($\text{BuC}^{\text{N}}\text{C}^{\text{C}}\text{Bu}$), 2,6-diphenyl-4-(2,5-difluorophenyl)pyridine ($2,5\text{-F}_2\text{—C}_6\text{H}_3\text{—C}^{\text{N}}\text{C}^{\text{C}}$), 2,6-diphenyl-4-p-tolylpyridine ($\text{C}^{\text{N}}\text{N}^{\text{C}}\text{C}^{\text{C}}\text{—Tol}$), 2,6-diphenyl-4-phenylpyridine ($\text{C}^{\text{N}}\text{Ph}^{\text{C}}\text{C}^{\text{C}}$), 2,6-bis(4-fluorophenyl)pyridine ($\text{FC}^{\text{N}}\text{C}^{\text{C}}\text{F}$), 2,6-diphenyl-4-(4-isopropylphenyl)pyridine ($4\text{-}^i\text{Pr-Ph-C}^{\text{N}}\text{C}^{\text{C}}$), 2,6-diphenyl-4-(4-nitrophenyl)pyridine ($4\text{-NO}_2\text{-Ph-C}^{\text{N}}\text{C}^{\text{C}}$), 2,6-diphenyl-4-(4-methoxyphenyl)pyridine ($4\text{-OMe-Ph-C}^{\text{N}}\text{C}^{\text{C}}$), 2,6-diphenyl-4-(4-methylphenyl)pyridine ($4\text{-Me-Ph-C}^{\text{N}}\text{C}^{\text{C}}$), 2,6-diphenyl-4-(4-ethylphenyl)pyridine ($4\text{-Et-Ph-C}^{\text{N}}\text{C}^{\text{C}}$), 2,6-diphenyl-4-(2,3,4-trimethoxyphenyl)pyridine ($2,3,4\text{-OMe}_3\text{-Ph-C}^{\text{N}}\text{C}^{\text{C}}$), 2,6-bis(4-methoxyphenyl)-4-(4-nitrophenyl)pyridine ($4\text{-NO}_2\text{-Ph-MeOC}^{\text{N}}\text{C}^{\text{C}}\text{OMe}$), 2,6-bis(2,4-dichlorophenyl)-4-(4-isopropylphenyl)pyridine ($4\text{-}^i\text{Pr-Ph-Cl}_2\text{C}^{\text{N}}\text{CCl}_2$), 2,6-diphenyl-4-(4-tosylphenyl)pyridine ($4\text{-OTs-Ph-C}^{\text{N}}\text{C}^{\text{C}}$), 2,6-diphenyl-4-(4-dimethylaminophenyl)pyridine ($4\text{-NMe}_2\text{-Ph-C}^{\text{N}}\text{C}^{\text{C}}$), 2,6-diphenyl-4-(4-diphenylaminophenyl)pyridine ($4\text{-NPh}_2\text{-Ph-C}^{\text{N}}\text{C}^{\text{C}}$), 2,6-diphenyl-4-(4-bromophenyl)pyridine ($4\text{-Br-Ph-C}^{\text{N}}\text{C}^{\text{C}}$), 2,6-diphenyl-4-(4-chlorophenyl)pyridine ($4\text{-Cl-Ph-C}^{\text{N}}\text{C}^{\text{C}}$), 2,6-diphenyl-4-(4-fluorophenyl)pyridine ($4\text{-F-Ph-C}^{\text{N}}\text{C}^{\text{C}}$), 2,6-diphenyl-4-(4-iodophenyl)pyridine ($4\text{-I-Ph-C}^{\text{N}}\text{C}^{\text{C}}$), 2,6-diphenyl-4-(2,5-dimethylphenyl)pyridine ($2,5\text{-Me}_2\text{-Ph-C}^{\text{N}}\text{C}^{\text{C}}$), 2,6-diphenyl-4-(2,3,4,5,6-pentafluorophenyl)pyridine ($2,3,4,5,6\text{-F}_5\text{-Ph-C}^{\text{N}}\text{C}^{\text{C}}$), and 1,3-diphenylisoquinoline (dpiq).

[0070] Benzene includes substituted or unsubstituted benzene.

[0071] Pyridine includes substituted or unsubstituted pyridine.

[0072] Thiophene includes substituted or unsubstituted thiophene.

[0073] Furan includes substituted or unsubstituted furan.

[0074] Pyrazole includes substituted or unsubstituted pyrazole.

[0075] Imidazole includes substituted or unsubstituted imidazole.

[0076] Oxazole includes substituted or unsubstituted oxazole.

[0077] Isoxazole includes substituted or unsubstituted isoxazole.

[0078] Thiazole includes substituted or unsubstituted thiazole.

[0079] Isothiazole includes substituted or unsubstituted isothiazole.

[0080] Pyrrole includes substituted or unsubstituted pyrrole.

[0081] Pyrazine includes substituted or unsubstituted pyrazine.

[0082] Pyridazine includes substituted or unsubstituted pyridazine.

[0083] Pyrimidine includes substituted or unsubstituted pyrimidine.

[0084] Benzimidazole includes substituted or unsubstituted benzimidazole.

[0085] Benzofuran includes substituted or unsubstituted benzofuran.

[0086] Benzothiazole includes substituted or unsubstituted benzothiazole.

[0087] Indole includes substituted or unsubstituted indole.

[0088] Naphthalene includes substituted or unsubstituted naphthalene.

[0089] Triazole includes substituted or unsubstituted triazole.

[0090] Tetrazole includes substituted or unsubstituted tetrazole.

[0091] Pyran includes substituted or unsubstituted pyran.

[0092] Thiapyran includes substituted or unsubstituted thiapyran.

[0093] Oxadiazole includes substituted or unsubstituted oxadiazole.

[0094] Triazine includes substituted or unsubstituted triazine.

[0095] Tetrazine includes substituted or unsubstituted tetrazine.

[0096] Carbazole includes substituted or unsubstituted carbazole.

[0097] Dibenzothiophene includes substituted or unsubstituted dibenzothiophene.

[0098] Dibenzofuran includes substituted or unsubstituted dibenzofuran.

[0099] Piperazine includes substituted or unsubstituted piperazine.

[0100] Piperidine includes substituted or unsubstituted piperidine.

[0101] Pyrrolidine includes substituted or unsubstituted pyrrolidine.

[0102] In some embodiments of the invention, the luminescent gold(III) dendrimers of structure (I) are prepared in high purity. The compounds described have been represented throughout by their monomeric structure. As is well known to those in the art, the compounds may also be present as dimers, trimers or dendrimers.

[0103] The luminescent gold(III) dendrimers can be used to form thin films by spin-coating, ink-jet printing or other known fabrication methods and be applied in OLEDs. Referring to FIG. 1, an organic EL device has, in order, substrate **112**, hole-injecting anode **110**, hole transporting layer **108**, light-emitting layer **106**, electron transporting layer **104**, and electron-injecting cathode **102**.

[0104] Substrate **112** is electrically insulated and can be either optically transparent, and comprise glass, plastic foil, or other appropriate material, or alternatively, may be opaque and comprise one or more semiconducting materials or ceramics. In one embodiment of the invention, the EL emission is viewed through substrate **112**, or through both sides of the device, and substrate **112** comprises a transparent glass substrate or a plastic foil. In other embodiments, the EL emission is viewed only through the top electrode, and substrate **112** comprises an opaque semiconductor or ceramic wafers. Hole-injecting anode **110** injects holes into the organic EL layer when anode **110** is positively biased. Anode **110** is composed of a conductive and optionally transmissive layer. In one embodiment of the invention, viewing the EL emission through the substrate is desirable, and hole-injecting anode **110** is transparent. In other embodiments, the EL emission is viewed through the top electrode and the transmissive characteristics of anode **110** are immaterial, and therefore any appropriate materials including metals or metal compounds having a work function of greater than 4.1 eV are used. Appropriate metals include gold, iridium, molybdenum, palladium, and platinum. In some embodiments anode **110** is transmissive, and suitable materials are metal oxides, including indium-tin oxide, aluminum- or indium-doped zinc oxide, tin oxide, magnesium-indium oxide, nickel-tungsten oxide, and cadmium-tin oxide. The preferred metals and metal oxides can be deposited by evaporation, sputtering, laser ablation, and chemical vapor deposition. Suitable materials for hole-transporting layer **108** include polycyclic aromatic compounds, for example, 4,4'-bis[N-(1-naphthyl)-N-phenylamino]biphenyl (NPB), 4,4'-bis[N-(3-methylphenyl)-N-phenylamino]biphenyl (TPD), 4,4',4"-tris[(3-methylphenyl)phenylamino]triphenylamine (MTDATA), and di-[4-(N,N-ditolyl-amino)phenyl]cyclohexane (TAPC). In addition, polymeric hole-transporting materials can be used including poly(N-vinylcarbazole) (PVK), polythiophene, polypyrrole, polyaniline, and copolymers including poly(3,4-ethylenedioxythiophene):poly(4-styrene-sulfonate) (PEDOT:PSS).

[0105] Light-emitting layer **106** in FIG. 1 is formed by doping the phosphorescent Au(III) metal complex as a dopant into a host compound. Suitable host materials should be selected so that the triplet exciton can be transferred efficiently from the host material to the phosphorescent dopant material. Suitable host materials include certain aryl amines, triazoles and carbazole compounds. Examples of desirable hosts are 4,4'-bis(carbazol-9-yl)biphenyl (CBP), m-(N,N'-dicarbazole)benzene (mCP), 4,4',4"-tris(carbazol-9-yl)triphenylamine (TCTA), 3-(4-biphenyl)-4-phenyl-5-tert-butylphenyl-1,2,4-triazole (TAZ), p-bis(triphenylsilyl)benzene (UGH2), and PVK.

[0106] Electron-transporting layer **104** consists of materials or mixtures of materials having a high ionization potential and wide optical band gap. Suitable electron-transporting materials include 1,3,5-tris(phenyl-2-benzimidazolyl)-benzene (TPBI), 1,3,5-tri[(3-pyridyl)-phen-3-yl]benzene (TMPyPB), bathocuproine (BCP), bathophenanthroline

(BPhen) and bis(2-methyl-8-quinolinolate)-4-(phenylpheno-
late)aluminum (BALq), tris-[2,4,6-trimethyl-3-(pyridin-3-yl)
phenyl]borane (3TPYMB), 1,3,5-tri[(3-pyridyl)-phen-3-yl]
benzene (TmPyPB), and 1,3-bis[3,5-di(pyridin-3-yl)phenyl]
benzene (BmPyPhB). In one embodiment of the invention,
electron transporting layer **104** is prepared as an organic film
by thermal evaporation, spin-coating, ink-jet printing from a
solution, or other known fabrication methods. Electron-in-
jecting cathode **102** acts as a transmissive electron injector
that injects electrons into the organic EL layer of anode **110**
when cathode **102** is negatively biased. Cathode **102** com-
prises a thin fluoride layer (which may be omitted) and a
metal or metal alloy, preferably having a work function of less
than 4 eV. Suitable materials include Mg:Ag, Ca, Li:Al, Al.

[0107] In some embodiments of the invention, novel lumi-
nescent gold(III) dendrimers are either the primary lumines-
cent material or a secondary luminescent material in device
100. In some embodiments the novel gold(III) dendrimers are
employed as electrophosphorescent dopants in multilayer
OLED with an EQE of up to 7.6%. Advantageously, the novel
gold(III) compounds can be deposited in the OLEDs by spin-
coating, screen printing or ink-jet printing. In addition, the
modular molecular design of dendrimers as shown in For-
mula (I) allows independent optimization of electronic and
processing properties combined with different metal centres
producing OLEDs having various emission colours. The high
solubility of the luminescent gold(III) dendrimers in a variety
of organic solvents permits simple and economic manufac-
turing and patterning of large-area displays.

[0108] In general, emissive layer **106** is sandwiched
between hole-transporting layer **108** and electron-transport-
ing layer **104**. To ensure an efficient exothermic energy trans-
fer between the host material and the dopant material, the
triplet energy of the host material must be larger than that of
the dopant material. In addition, both the ionization potential
and the electron affinity of the host material should be larger
than those of the dopant material in order to achieve efficient
Foster energy transfer from the host to the dopant. In order to
confine triplet excitons within emissive layer **106**, the triplet
energy of hole-transporting material **102** and electron-transport-
ing material **104** should be larger than that of the dopant
material.

[0109] The present invention will be illustrated more spe-
cifically by the following non-limiting examples, it being
understood that changes and variations can be made therein
without deviating from the scope and the spirit of the inven-

tion as hereinafter claimed. It is also understood that various
theories as to why the invention works are not intended to be
limiting.

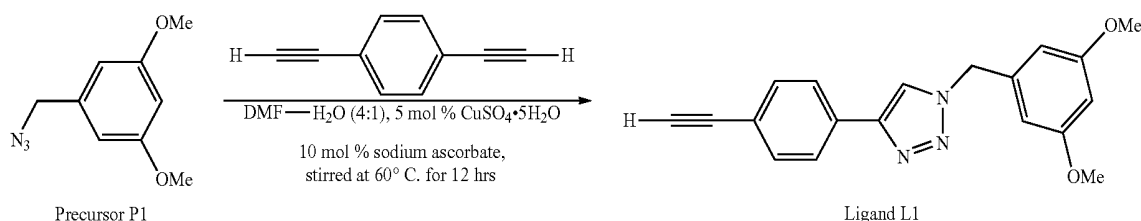
Example 1

Synthesis and Characterization of Ligands 1-10

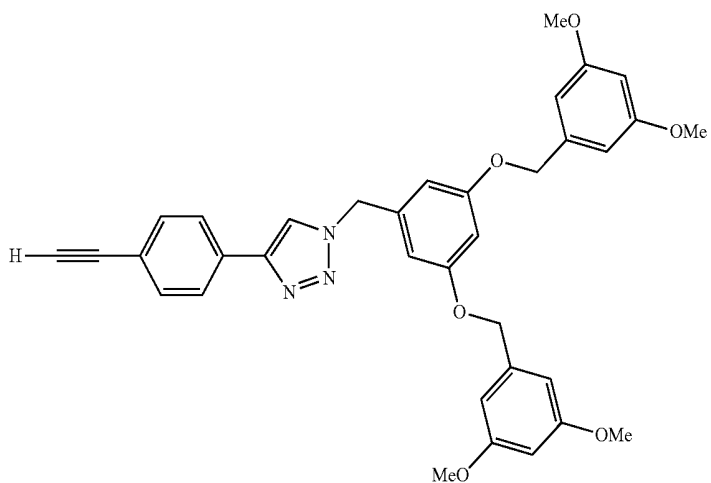
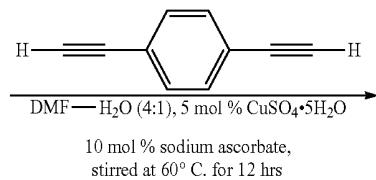
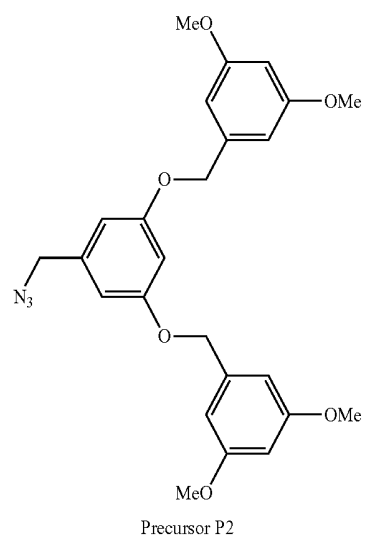
[0110] 1-(3,5-dimethoxybenzyl)-4-(4-ethynylphenyl)-1H-
1,2,3-triazole (ligand **L1**), 1-(3,5-bis-(3,5-dimethoxybenzy-
loxy)benzyl)-4-(4-ethynylphenyl)-1H-1,2,3-triazole (ligand
L2), and 1-(3,5-bis(3,5-bis(3,5-dimethoxybenzyloxy)benzy-
loxy)benzyl)-4-(4-ethynylphenyl)-1H-1,2,3-triazole (ligand
L3) were synthesized according to the following methodol-
ogy. 3,5-dimethoxybenzylazide (precursor **P1**), 3,5-bis(3,5-
dimethoxybenzyloxy)benzylazide (precursor **P2**), and [3,5-
bis(3,5-bis(3,5-dimethoxybenzyloxy)benzyloxy)]
benzylazide (precursor **P3**) were synthesized according to the
published procedure [Lee, J. W.; Kim, B. K.; Kim, J. H.; Shin,
W. S.; Jin, S. H. *Bull. Korean Chem. Soc.*, 26, 1790 (2005)].
For example, 3,5-dimethoxybenzyl bromide (1.50 g, 6.50
mmol) and sodium azide (1.27 g, 20.50 mmol) were dissolved
in DMF (30 mL) and heated in a sealed glass vessel under
reflux for 12 h. The crude product was obtained by removal of
solvent from the reaction mixture. The crude product was
dissolved in dichloromethane (15 mL) and recrystallized by
diffusion of pentane vapor into the solution. to give ligand
precursor **P1** as a pale white solid (1.20 g).

[0111] Ligand precursors **P2** and **P3** were similarly syn-
thesized.

[0112] Next, target ligands were synthesized from their
respective precursors by the reaction of different poly-
arylether azide ligands with 1,4-diethynylbenzene in the
presence of a catalytic amount of sodium ascorbate and
 $\text{CuSO}_4 \cdot 5\text{H}_2\text{O}$. For example, a mixture of 1,4-diethynylben-
zene (0.15 g, 0.75 mmol) and precursor **P1** (0.13 g, 0.90
mmol) in the presence of 5 mol % $\text{CuSO}_4 \cdot 5\text{H}_2\text{O}$ (7.50 mg,
0.03 mmol) with 5 mol % sodium ascorbate (11.88 mg, 0.06
mmol) were dissolved in degassed (8 mL) DMF and H_2O (2
mL) and stirred for twelve hours under a nitrogen atmosphere
at 50-60° C. The crude product was cooled to room tempera-
ture and then extracted with diethyl ether (20 mL). The
desired product was isolated by column chromatography
using ethyl acetate as the eluent. Column fractions were com-
bined, the solvent removed, and subsequent recrystallization
by diffusion of pentane vapor into a solution of the product in
dichloromethane (10 mL) gave ligand **L1** as a pale white
solid. The yield of the ligand was approximately 70%.

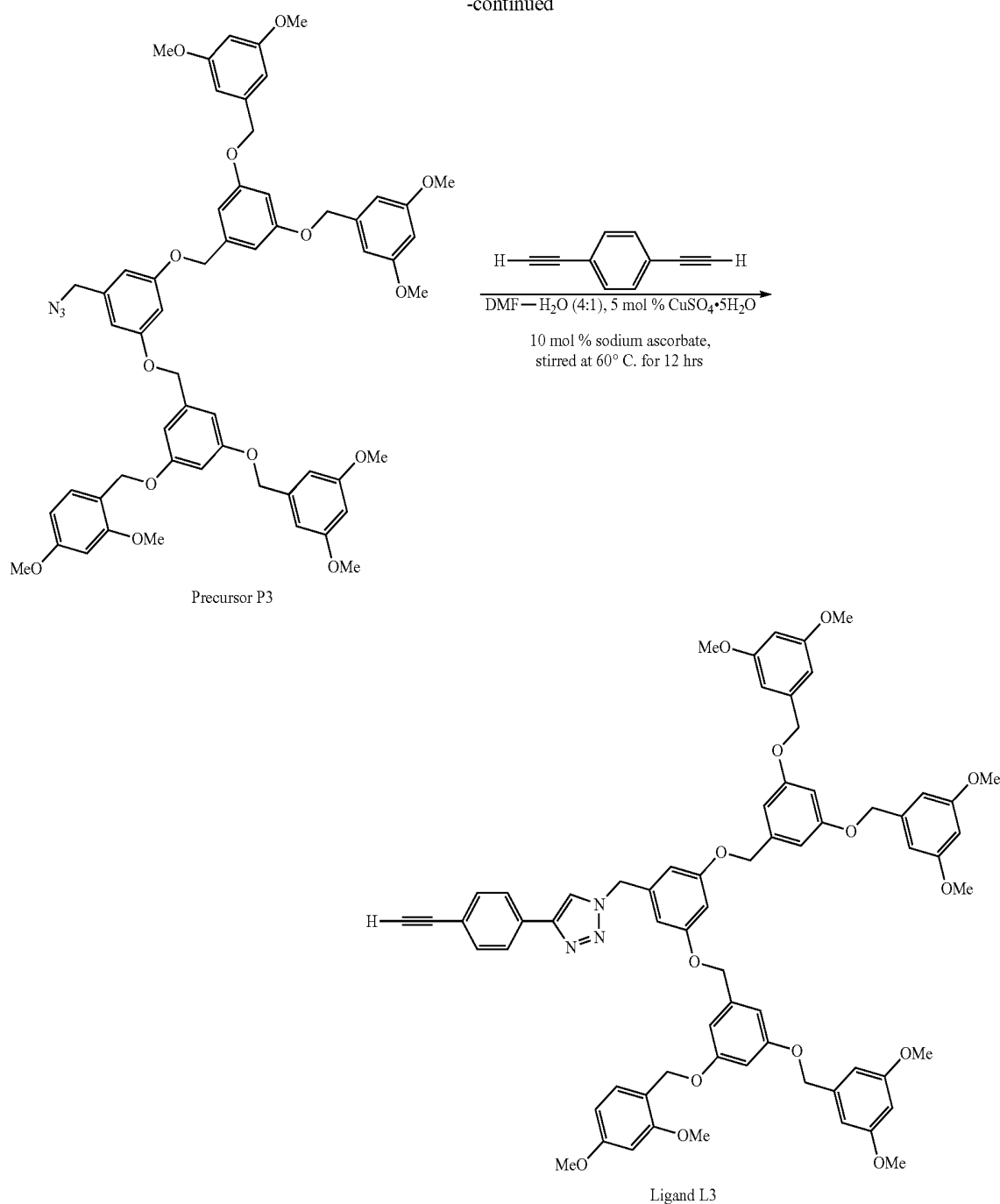


-continued



Ligand L2

-continued

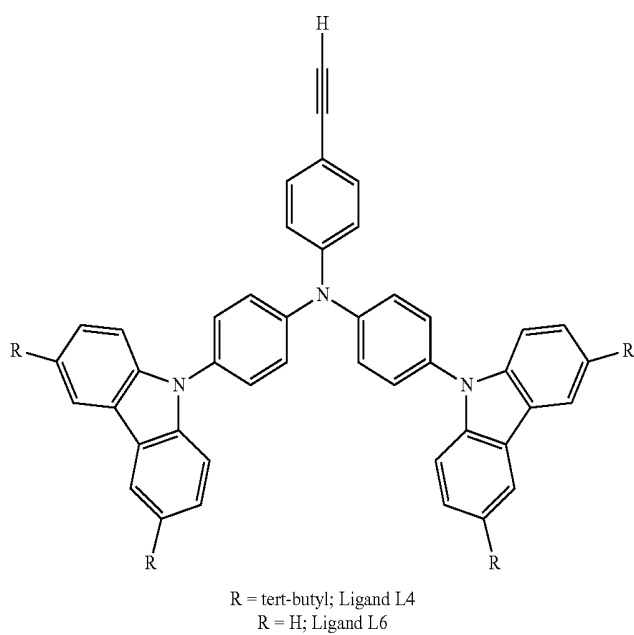
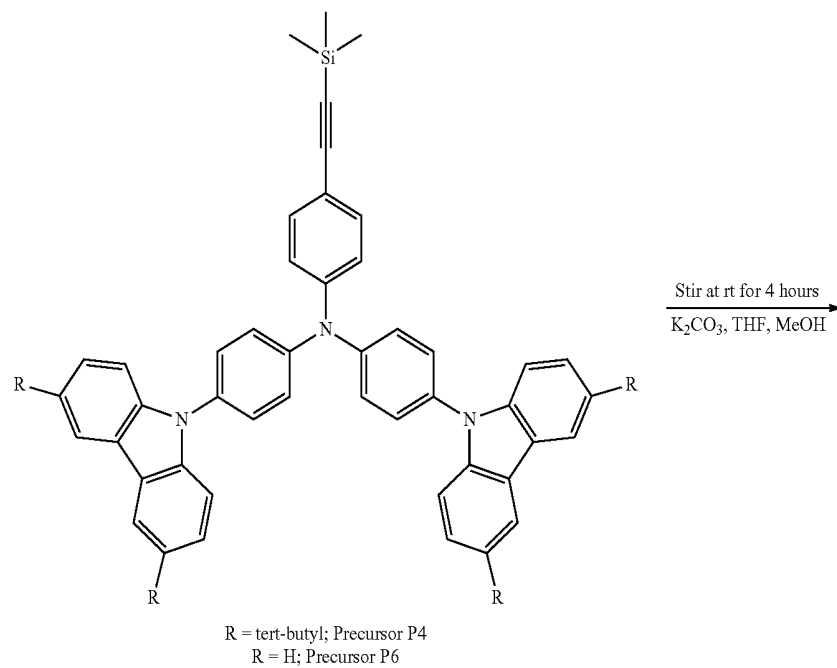


[0113] Carbazole or triphenylamine based dendritic alkyne ligands L4-L10 were synthesized according to the following methodology. The protected forms, precursors P4-P10, were prepared according to a modification of a procedure reported in the literature [Liu, Q. D.; Lu, J. P.; Day, M.; Tao, Ye.; Barrios, P.; Stupak, J.; Chan, K.; Li, J. J.; Chi, Y. *Adv. Funct. Mater.* 17, 1028, (2007); Kikuchi, A.; Nose, T. *Macromolecules* 30, 892 (1997); Zhu, M. R.; Zou, J. H.; Hu, S. J.; Li, C.; Yang, C. L.; Wu, H. B.; Qin, J. Q.; Cao, Y. J. *Mater. Chem.* 22, 361 (2012)]. Each target ligand was obtained by de-protection of the corresponding precursor ligand in a mixture of K₂CO₃ or tetrabutylammonium fluoride (TBAF) and an organic solvent. For example, a mixture of precursor P4 and K₂CO₃ in THF and MeOH was stirred for four hours

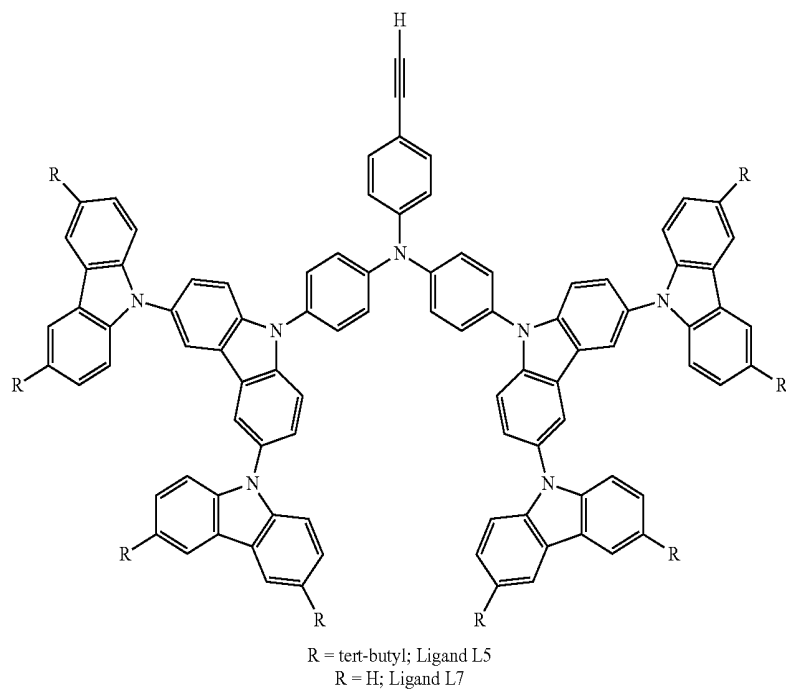
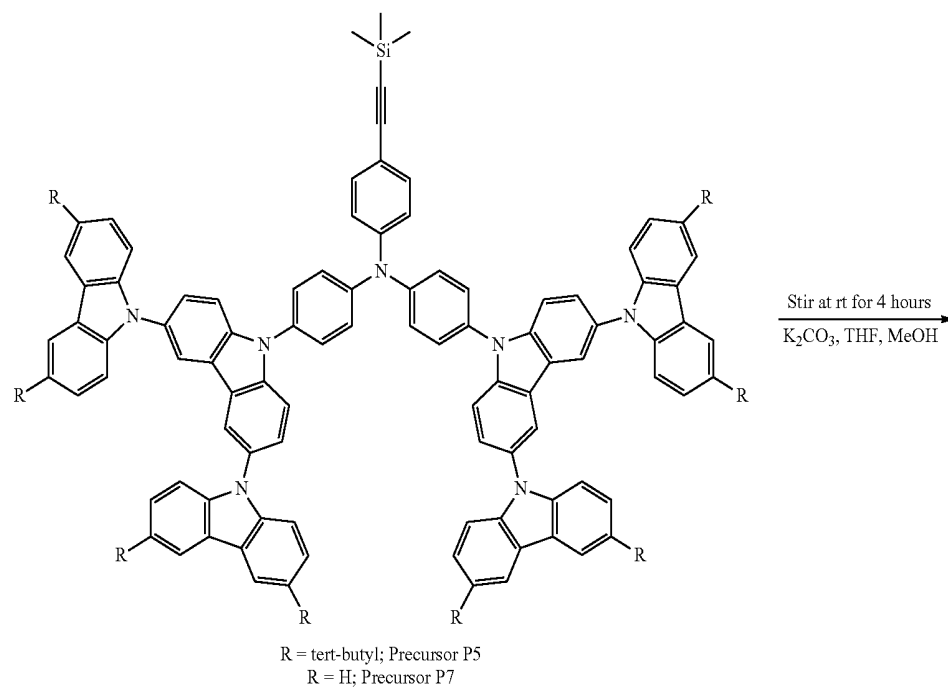
under a nitrogen atmosphere at room temperature. The solvents were removed under reduced pressure. The crude product was diluted with dichloromethane. The organic phase was washed with NaCl in water three times (20 mL×3), and then extracted three times with dichloromethane (20 mL×3). The organic extract was dried over anhydrous Na₂SO₄ and filtered to remove Na₂SO₄. The solvent (dichloromethane) was evaporated under reduced pressure. Further purification was accomplished by column chromatography on silica gel with hexane-dichloromethane (6:1, v/v) as the eluent. The elution process was monitored by thin layer chromatography (TLC). Column fractions containing the product (R_f=0.42) were pooled and the solvent removed by evaporation under reduced pressure. Subsequent recrystallization by diffusion

of diethyl ether vapor into the solution of the product in dichloromethane gave ligand L4 as a pale brown solid.

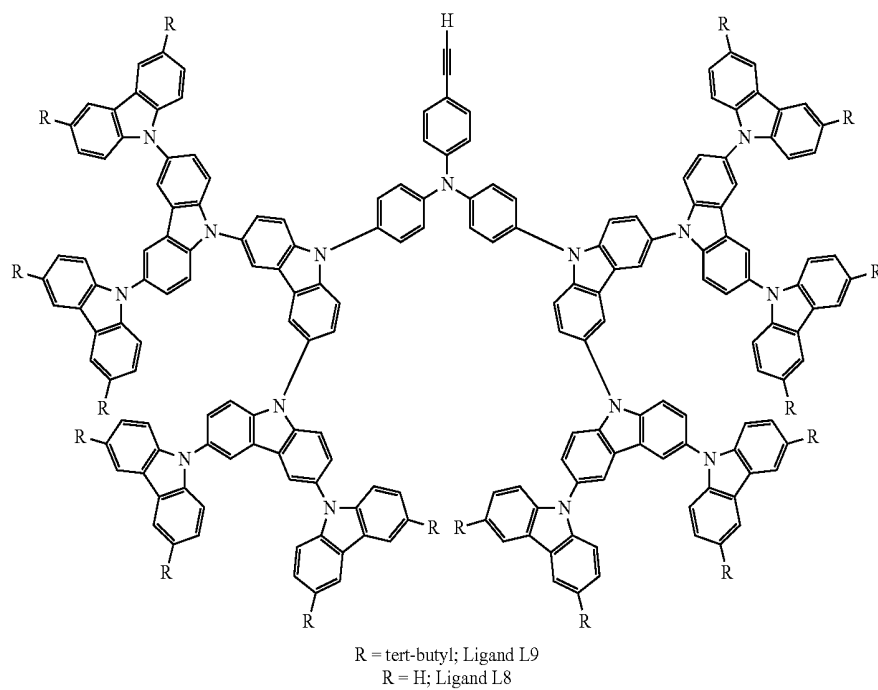
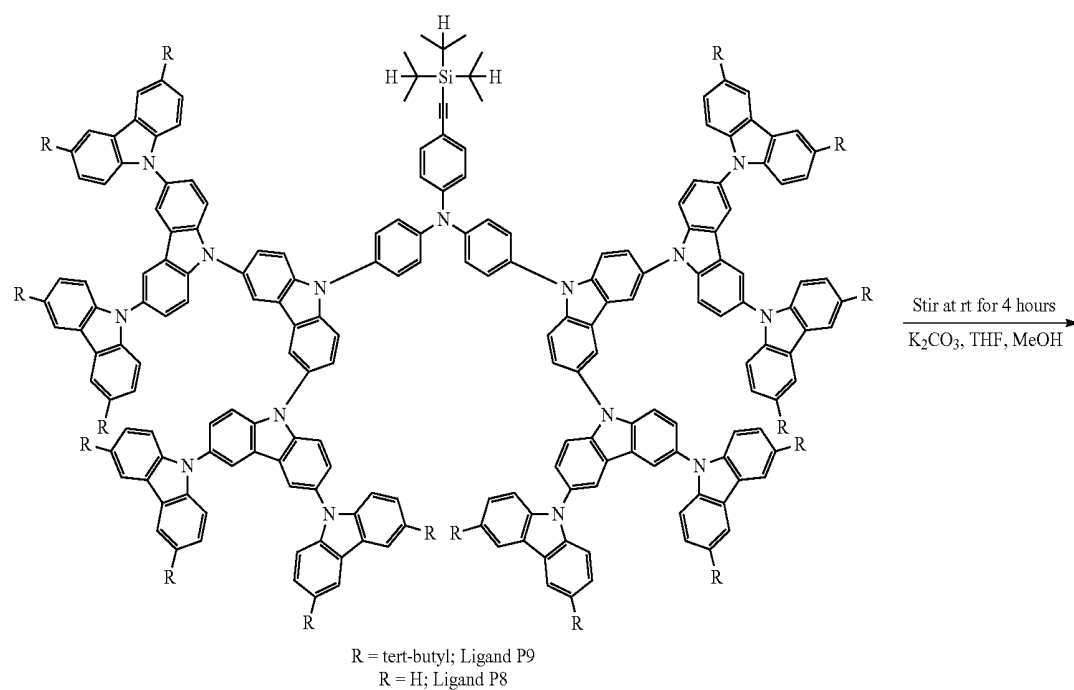
[0114] Ligands L5-L10 were synthesized from precursors P5-P10 using the same procedure.

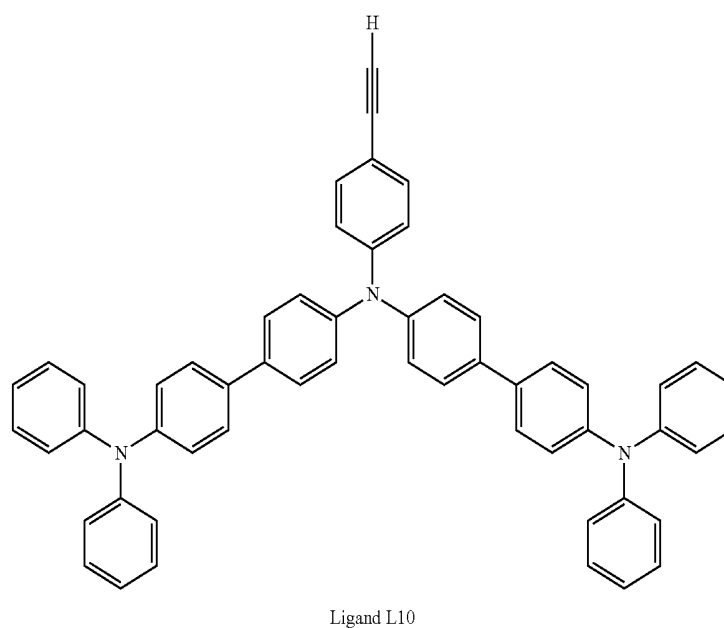
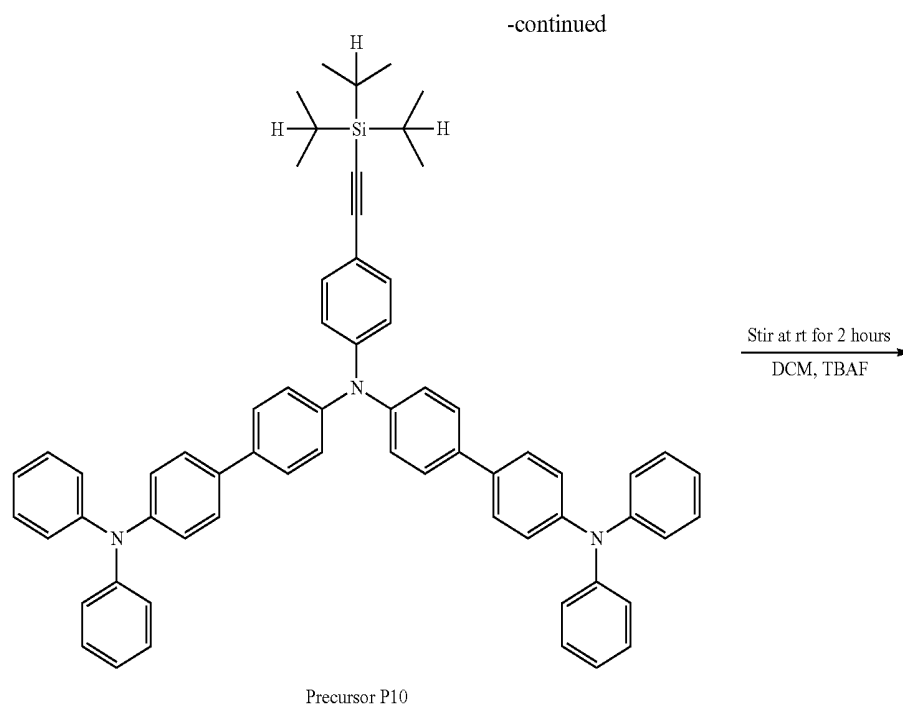


-continued



-continued





Example 2

Synthesis and Characterization of Gold(III) Dendrimers

[0115] Compounds 1-13 were synthesized according to the following methodology.

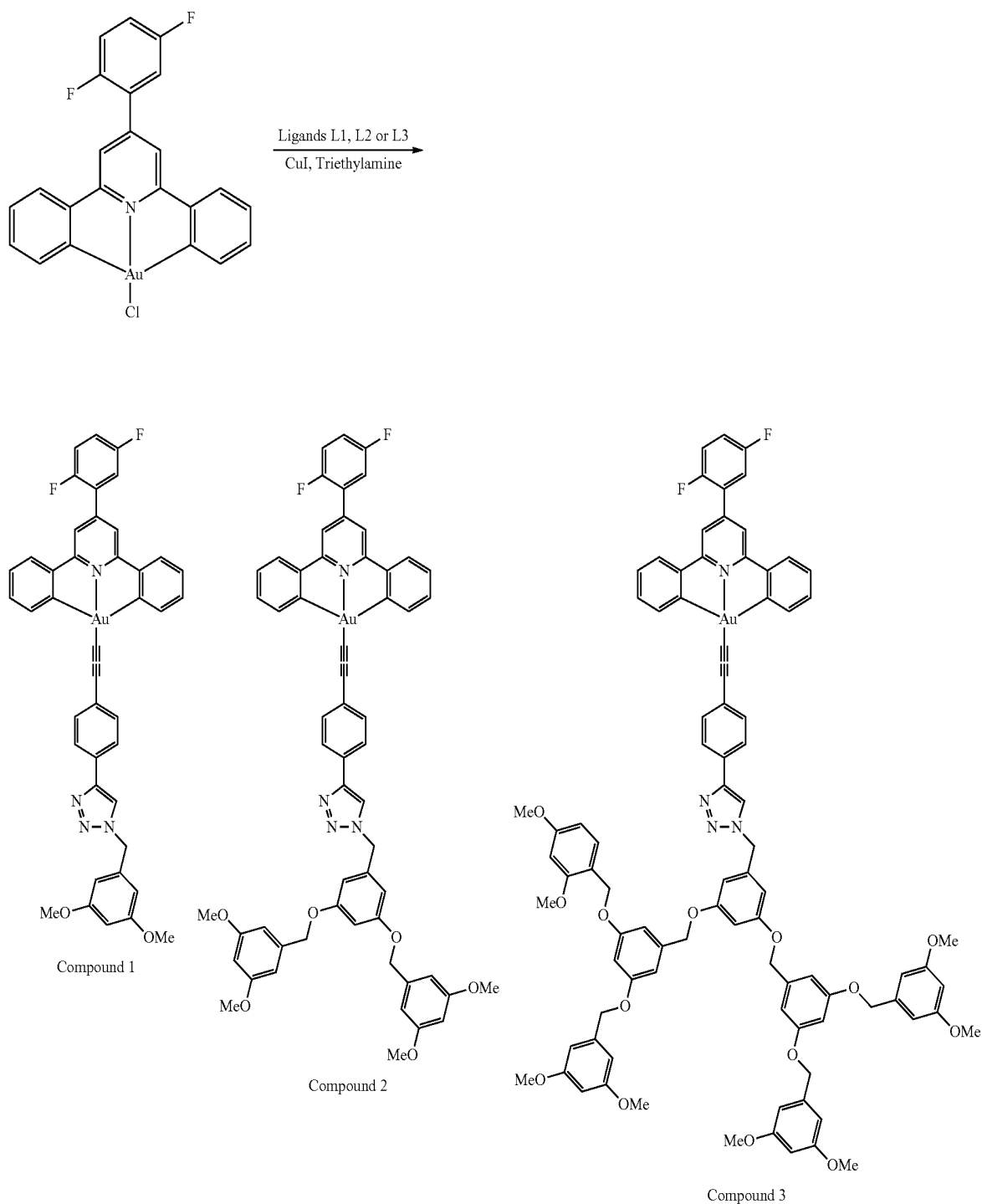
[0116] The tridentate ligands, 2,5-F₂-C₆H₃-C[^]N[^]C, BuC[^]N[^]CBu, dpiq, and the precursor compounds, [Au(C[^]N[^]C)Cl], [Au(2,5-F₂-C₆H₃-C[^]N[^]C)Cl], [Au(^tBuC[^]N[^]CBu)Cl],

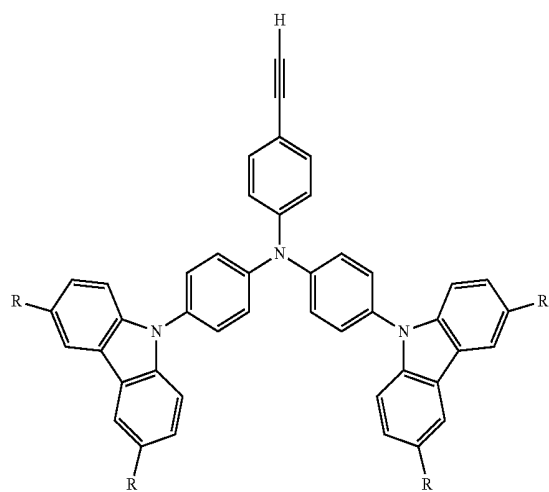
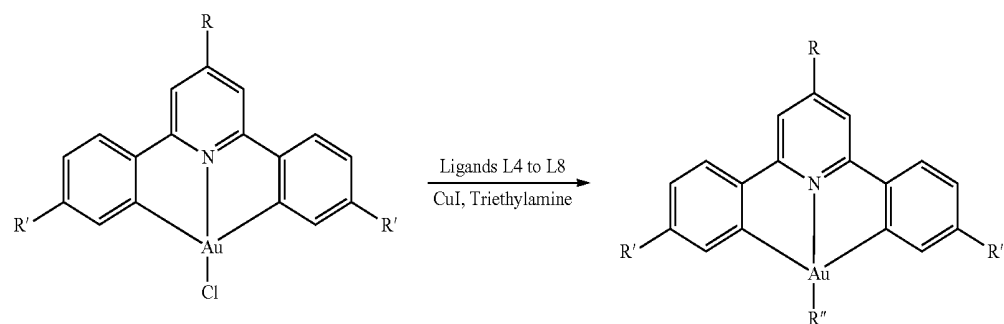
[Au(^tBuC[^]N[^]CBu)Cl] and [Au(dpiq)Cl], respectively, were prepared according to a modification of a procedure reported in the literature [Krohnke, F. *Synthesis* 1 (1976); Wong, K. H.; Cheung, K. K.; Chan, M. C. W.; Che, C. M. *Organometallics*, 17, 5305 (1998)].

[0117] The target compounds were synthesized by the reaction of the respective [Au(C[^]N[^]C)Cl], [Au(2,5-F₂-C₆H₃-C[^]N[^]C)Cl], [Au(^tBuC[^]N[^]CBu)Cl] and [Au(dpiq)Cl] with different alkynes in the presence of a catalytic amount of

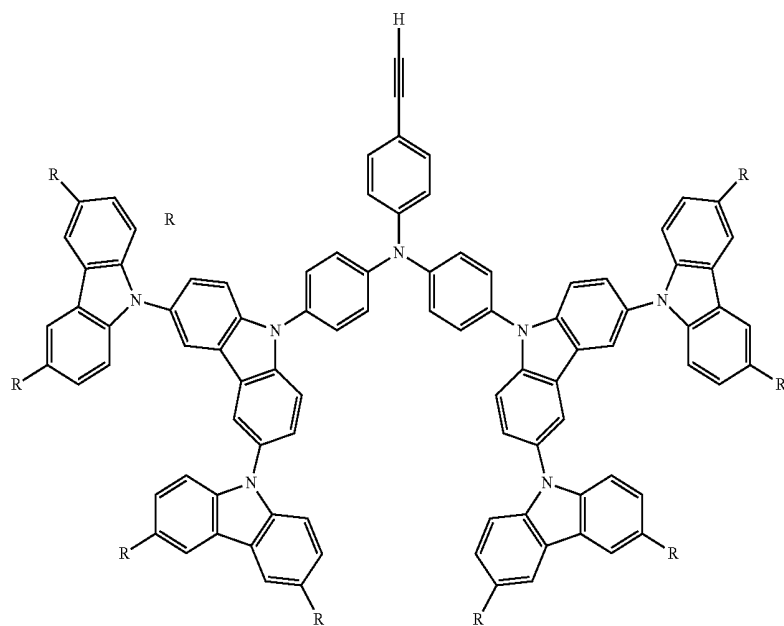
copper(I) iodide in base and organic solvent. For example, compound 1 was synthesized from a mixture of $[\text{Au}(2,5\text{-F}_2\text{-C}_6\text{H}_3\text{-C}\equiv\text{N}^+\text{C})\text{Cl}]$ (1.04 g, 0.18 mmol), copper(I) iodide (3.80 mg, 0.02 mmol), triethylamine (2 mL) and ligand L1 (0.60 g, 0.18 mmol) in degassed dichloromethane solution (30 mL) for twelve hours under a nitrogen atmosphere at room temperature. After removing the solvent, the crude product was purified by column chromatography on silica gel

using ethyl acetate as the eluent. Column fractions containing the product were combined and evaporated to dryness under reduced pressure. The residue was dissolved in dichloromethane. Subsequent recrystallization by diffusion of diethyl ether vapor into the dichloromethane solution of the product (35 mL) gave compound 1 (40 mg) as pale yellow crystals. Compounds 2 through 13 were synthesized from their respective precursors using similar procedures.

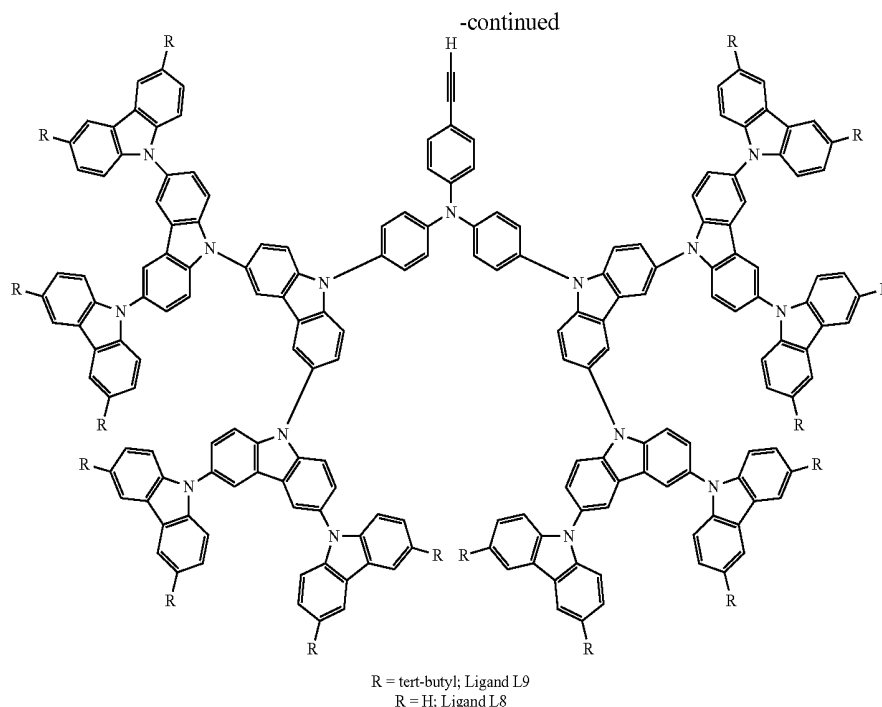




R = tert-butyl; Ligand L4
R = H; Ligand L6



R = tert-butyl; Ligand L5
R = H; Ligand L7



R = 2,5-F₂C₆H₃; R₂ = H; R'' = Ligand L4 (Compound 4)
 R = 2,5-F₂C₆H₃; R₂ = H; R'' = Ligand L5 (Compound 5)
 R = H; R' = tert-butyl group; R'' = Ligand L6 (Compound 6)
 R = H; R' = tert-butyl group; R'' = Ligand L7 (Compound 7)
 R = H; R' = tert-butyl group; R'' = Ligand L8 (Compound 8)
 R = H; R' = tert-butyl group; R'' = Ligand L4 (Compound 9)
 R = H; R' = H; R'' = Ligand L4 (Compound 10)
 R = 2,5-F₂C₆H₃; R₂ = H; R'' = Ligand L9 (Compound 11)

[0118] ¹H NMR spectra were recorded on a Bruker AVANCE 400 (400 MHz) Fourier-transform NMR spectrometer with chemical shifts reported relative to tetramethylsilane. Positive FAB mass spectra were recorded on a Thermo Scientific DFS High Resolution Magnetic Sector Mass Spectrometer. IR spectra were recorded using a KBr disk on a Bio-Rad FTS-7 FTIR spectrometer (4000-400 cm⁻¹). Elemental analyses for the metal complexes were performed on the Carlo Erba 1106 elemental analyzer at the Institute of Chemistry, Chinese Academy of Sciences in Beijing. The results of the analyses confirm the high purity of all compounds 1-13.

[0119] The characteristic spectral properties of ligands L1-L10 and compounds 1-13 are as follows:

[0120] Ligand L1: Yield: 170 mg, 70%. ¹H NMR (400 MHz, DMSO-d₆, 298 K, relative to Me₄Si): δ 3.11 (s, 1H), 3.71 (s, 6H), 5.55 (s, 2H), 6.47 (t, J=2.0 Hz, 1H), 6.51 (d, J=2.0 Hz, 2H), 7.54 (d, J=8.4 Hz, 2H), 7.87 (d, J=8.4 Hz, 2H), 8.67 (s, 1H). Positive FAB-MS: m/z 319 [M]⁺. Elemental analyses: Found (%): C, 71.34; H, 5.55; N, 13.11. Calcd for C₁₉H₁₇N₃O₂: C, 71.45; H, 5.36; N, 13.15.

[0121] Ligand L2: Yield: 230 mg, 78%. ¹H NMR (400 MHz, DMSO-d₆, 298 K, relative to Me₄Si): δ 3.11 (s, 1H), 3.71 (s, 12H), 5.00 (s, 4H), 5.55 (s, 2H), 6.47 (t, J=2.0 Hz, 2H), 6.56-6.62 (m, 7H), 7.54 (d, J=11.1 Hz, 2H), 7.85 (d, J=11.1 Hz, 2H), 8.65 (s, 1H). Positive FAB-MS: m/z 592 [M]⁺. Elemental analyses: Found (%): C, 68.91; H, 5.97; N, 6.67. Calcd for C₃₅H₃₃N₃O₆·H₂O: C, 68.95; H, 5.78; N, 6.89.

[0122] Ligand L3: Yield: 175 mg, 78%. ¹H NMR (400 MHz, DMSO-d₆, 298 K, relative to Me₄Si): δ 3.11 (s, 1H),

3.71 (s, 24H), 4.96 (s, 12H), 5.53 (s, 2H), 6.41 (t, J=2.0 Hz, 2H), 6.56-6.68 (m, 19H), 7.50 (d, J=8.4 Hz, 2H), 7.83 (d, J=8.4 Hz, 2H), 8.61 (s, 1H). Positive FAB-MS: m/z 1137 [M]⁺. Elemental analyses: Found (%): C, 70.51; H, 5.81; N, 3.44. Calcd for C₆₇H₆₄N₃O₁₄·1/2H₂O: C, 70.32; H, 5.72; N, 3.67.

[0123] Ligand L4: Yield: 480 mg, 83%. ¹H NMR (400 MHz, CDCl₃, 298 K, relative to Me₄Si): δ 1.47 (s, 36H), 3.08 (s, 1H), 7.36-7.42 (m, 10H), 7.46-7.52 (m, 10H), 8.14 (d, J=2.0 Hz, 4H). Positive FAB-MS: m/z 823 [M]⁺. Elemental analyses: Found (%): C, 86.76; H, 4.97; N, 7.21. Calcd for C₆₀H₆₁N₃·1/2H₂O: C, 86.49; H, 7.50; N, 5.04.

[0124] Ligand L5: Yield: 200 mg, 83%. ¹H NMR (400 MHz, CDCl₃, 298 K, relative to Me₄Si): δ 1.57 (s, 72H), 3.11 (s, 1H), 7.30-7.67 (m, 24H), 7.72-7.75 (m, 12H), 8.18 (d, J=1.5 Hz, 8H), 8.28 (d, J=1.8 Hz, 4H). Positive FAB-MS: m/z 1707 [M]⁺. Elemental analyses: Found (%): C, 85.19; H, 7.36; N, 5.54. Calcd for C₁₂₄H₁₂₁N₇·2H₂O: C, 85.33; H, 7.21; N, 5.61.

[0125] Ligand L6: Yield: 230 mg, 77%. ¹H NMR (400 MHz, CD₂Cl₂, 298 K, relative to Me₄Si): δ 3.15 (s, 1H), 7.26 (d, J=8.4 Hz, 2H), 7.29-7.33 (m, 4H), 7.44-7.57 (m, 18H), 8.17 (d, J=8.0 Hz, 4H). Positive FAB-MS: m/z 599 [M]⁺. Elemental analyses: Found (%): C, 87.07; H, 4.98; N, 6.99. Calcd for C₆₀H₆₁N₃·1/2H₂O: C, 86.81; H, 4.96; N, 6.90.

[0126] Ligand L7: Yield: 210 mg, 80%. ¹H NMR (400 MHz, CDCl₃, 298 K, relative to Me₄Si): δ 3.12 (s, 1H), 7.17 (d, J=11.6 Hz, 2H), 7.27-7.33 (m, 8H), 7.40 (d, J=6.0 Hz, 16H), 7.54 (d, J=11.6 Hz, 4H), 7.65 (dd, J=11.6 and 2.4 Hz, 4H), 7.69-7.77 (m, 10H), 8.17 (d, J=11.6 Hz, 8H), 8.30 (d,

J=2.2 Hz, 4H). Positive FAB-MS: m/z 1260 $[M]^+$. Elemental analyses: Found (%): C, 87.38; H, 4.50; N, 7.54. Calcd for $C_{92}H_{57}N_7$: C, 87.66; H, 7.56; N, 7.77.

[0127] Ligand L8: Yield: 60 mg, 77%. 1H NMR (400 MHz, $CDCl_3$, 298 K, relative to Me_4Si): δ 3.15 (s, 1H), 7.21-7.31 (m, 16H), 7.34-7.40 (m, 34H), 7.56-7.77 (m, 22H), 7.81 (dd, J=7.3 and 1.0 Hz, 4H), 7.90 (d, J=8.4 Hz, 8H), 8.15 (d, J=7.8 Hz, 16H), 8.29 (d, J=8.4 Hz, 8H), 8.56 (d, J=2.0 Hz, 4H). Positive FAB-MS: m/z 2579 $[M]^+$. Elemental analyses: Found (%): C, 85.86; H, 5.03; N, 7.81. Calcd for $C_{60}H_{61}N_3 \cdot 2\frac{1}{2}CH_3CH_2OCH_2CH_3$: C, 85.93; H, 5.02; N, 7.59.

[0128] Ligand L9: Yield: 150 mg, 90%. 1H NMR (400 MHz, $CDCl_3$, 298 K, relative to Me_4Si): δ 1.40 (s, 144H), 3.15 (s, 1H), 7.42 (d, J=8.8 Hz, 16H), 7.43-7.45 (m, 18H), 7.59-7.63 (m, 22H), 7.64 (d, J=8.8 Hz, 4H), 7.87-7.89 (m, 8H), 8.14 (d, J=1.8 Hz, 16H), 8.26 (d, J=1.8 Hz, 8H), 8.56 (s, 4H). Positive FAB-MS: m/z 3479 $[M]^+$. Elemental analyses: Found (%): C, 85.45; H, 7.51; N, 5.60. Calcd for $C_{252}H_{241}N_{15} \cdot 3CH_3CH_2OCH_2CH_3$: C, 85.65; H, 7.37; N, 5.67.

[0129] Ligand L10: Yield: 110 mg, 65%. 1H NMR (300 MHz, $DMSO-d_6$, 298 K, relative to Me_4Si): δ 3.04 (s, 1H), 7.04-7.11 (m, 18H), 7.18 (d, J=9.0 Hz, 4H), 7.29-7.34 (m, 8H), 7.41 (d, J=9.0 Hz, 2H), 7.59-7.66 (m, 8H). Positive FAB-MS: m/z 756 $[M]^+$.

[0130] Compound 1: $[Au(2,5-F_2-C_6H_3-C^N^C\text{-ligand L1})]$. Yield: 40 mg, 27%. 1H NMR (400 MHz, $DMSO-d_6$, 298 K, relative to Me_4Si): δ 3.71 (s, 6H), 5.55 (s, 2H), 6.47 (d, J=2.0 Hz, 1H), 6.51 (t, J=2.0 Hz, 2H), 7.34 (dt, J=7.4 and 1.0 Hz, 2H), 7.44 (dt, J=7.4 and 1.0 Hz, 2H), 7.48-7.56 (m, 2H), 7.58 (d, J=8.2 Hz, 2H), 7.74-7.82 (m, 1H), 7.86 (d, J=7.4 Hz, 2H), 7.95 (dd, J=7.4 and 1.0 Hz, 2H), 8.02 (d, J=8.2 Hz, 2H), 8.18 (s, 2H), 8.67 (s, 1H). Positive FAB-MS: m/z 856 $[M]^+$. IR (KBr disk): 2337 cm^{-1} $\nu(C\equiv C)$. Elemental analyses: Found (%): C, 58.33; H, 3.54; N, 6.43. Calcd for $C_{42}H_{29}F_2N_4O_2Au \cdot \frac{1}{2}H_2O$: C, 58.27; H, 3.49; N, 6.47.

[0131] Compound 2: $[Au(2,5-F_2-C_6H_3-C^N^C\text{-ligand L2})]$. Yield: 40 mg, 35%. 1H NMR (400 MHz, $DMSO-d_6$, 298 K, relative to Me_4Si): δ 3.70 (s, 12H), 5.00 (s, 4H), 5.55 (s, 2H), 6.41 (t, J=4.4 Hz, 2H), 6.56-6.64 (m, 7H), 7.34 (dt, J=7.6 and 1.0 Hz, 2H), 7.44 (dt, J=7.6 and 1.0 Hz, 2H), 7.48-7.55 (m, 2H), 7.58 (d, J=8.0 Hz, 2H), 7.77-7.81 (m, 1H), 7.82 (d, J=7.6 Hz, 2H), 7.94 (dd, J=7.6 and 1.0 Hz, 2H), 8.02 (d, J=8.0 Hz, 2H), 8.18 (s, 2H), 8.65 (s, 1H). Positive FAB-MS: m/z 1128 $[M]^+$. IR (KBr disk): 2351 cm^{-1} $\nu(C\equiv C)$. Elemental analyses: Found (%): C, 61.26; H, 4.08; N, 4.88. Calcd for $C_{58}H_{45}F_2N_4O_6Au \cdot \frac{1}{2}H_2O$: C, 61.21; H, 4.07; N, 4.92.

[0132] Compound 3: $[Au(2,5-F_2-C_6H_3-C^N^C\text{-ligand L3})]$. Yield: 57 mg, 26%. 1H NMR (400 MHz, $DMSO-d_6$, 298 K, relative to Me_4Si): δ 3.70 (s, 24H), 5.00 (s, 12H), 5.54 (s, 2H), 6.41 (t, J=2.0 Hz, 2H), 6.56-6.66 (m, 19H), 7.33 (dt, J=8.0 and 1.0 Hz, 2H), 7.43 (dt, J=8.0 and 1.0 Hz, 2H), 7.48-7.55 (m, 2H), 7.56 (d, J=8.4 Hz, 2H), 7.77-7.82 (m, 1H), 7.84 (d, J=8.0 Hz, 2H), 7.93 (dd, J=8.0 and 1.0 Hz, 2H), 8.03 (d, J=8.4 Hz, 2H), 8.18 (s, 2H), 8.61 (s, 1H). Positive FAB-MS: m/z 1672 $[M]^+$. IR (KBr disk): 2351 cm^{-1} $\nu(C\equiv C)$. Elemental analyses: Found (%): C, 64.76; H, 4.75; N, 3.51. Calcd for $C_{90}H_{77}F_2N_4O_{14}Au$: C, 64.59; H, 4.63; N, 3.34.

[0133] Compound 4: $[Au(2,5-F_2-C_6H_3-C^N^C\text{-ligand L4})]$. Yield: 80 mg, 68%. 1H NMR (500 MHz, CD_2Cl_2 , 298 K, relative to Me_4Si): δ 1.47 (s, 18H), 7.25-7.37 (m, 7H), 7.43-7.47 (m, 10H), 7.50-7.53 (m, 8H), 7.61 (d, J=4.8 Hz, 2H), 7.62-7.70 (m, 4H), 8.11 (dd, J=7.3 and 1.0 Hz, 2H), 8.16 (d, J=1.6 Hz, 4H). Positive FAB-MS: m/z 1360 $[M]^+$. IR (KBr

disk): 2361 cm^{-1} . Elemental analyses: Found (%): C, 73.12; H, 5.54; N, 4.02. Calcd for $C_{83}H_{73}F_2N_4Au$: C, 73.48; H, 5.76; N, 4.11.

[0134] Compound 5: $[Au(2,5-F_2-C_6H_3-C^N^C\text{-ligand L5})]$. Yield: 48 mg, 28%. 1H NMR (400 MHz, CD_3Cl , 298 K, relative to Me_4Si): δ 1.46 (s, 72H), 7.20-7.25 (m, 3H), 7.27-7.39 (m, 12H), 7.44-7.47 (m, 10H), 7.58 (d, J=8.0 Hz, 4H), 7.67-7.75 (m, 18H), 8.16-8.18 (m, 10H), 8.25 (d, J=4.0 Hz, 4H). Positive FAB-MS: m/z 2242 $[M]^+$. IR (KBr disk): 2364 cm^{-1} $\nu(C\equiv C)$. Elemental analyses: Found (%): C, 78.59; H, 5.97; N, 4.99. Calcd for $C_{147}H_{133}F_2N_8Au \cdot 2H_2O$: C, 77.10; H, 6.07; N, 5.18.

[0135] Compound 6: $[Au(^tBuC^N^C\text{-ligand L6})]$. Yield: 48 mg, 45%. 1H NMR (500 MHz, CD_2Cl_2 , 298 K, relative to Me_4Si): δ 1.26 (s, 18H), 7.28-7.34 (m, 8H), 7.43-7.58 (m, 18H), 7.62 (d, J=2.0 Hz, 2H), 7.63 (d, J=2.0 Hz, 2H), 7.87 (t, J=8.0 Hz, 1H), 8.16 (d, J=7.4 Hz, 4H), 8.21 (d, J=2.4 Hz, 2H). Positive FAB-MS: m/z 1136 $[M]^+$. IR (KBr disk): 2337 cm^{-1} $\nu(C\equiv C)$. Elemental analyses: Found (%): C, 72.04; H, 4.96; N, 4.94. Calcd for $C_{69}H_{55}N_4Au \cdot H_2O$: C, 71.74; H, 4.97; N, 4.85.

[0136] Compound 7: $[Au(^tBuC^N^C\text{-ligand L7})]$. Yield: 50 mg, 32%. 1H NMR (500 MHz, CD_2Cl_2 , 298 K, relative to Me_4Si): δ 1.26 (s, 18H), 7.26-7.32 (m, 8H), 7.33 (dd, J=8.0 and 2.0 Hz, 2H), 7.39-7.43 (m, 18H), 7.48 (d, J=7.4 Hz, 2H), 7.58 (d, J=8.0 Hz, 2H), 7.63 (d, J=8.2 Hz, 4H), 7.66-7.69 (m, 6H), 7.75 (d, J=7.4 Hz, 4H), 7.80 (d, J=8.5 Hz, 4H), 7.85 (t, J=8.2 Hz, 1H), 8.16 (d, J=8.0 Hz, 8H), 8.22 (d, J=2.0 Hz, 2H), 8.32 (d, J=2.0 Hz, 4H). Positive FAB-MS: m/z 1796 $[M]^+$. IR (KBr disk): 2340 cm^{-1} $\nu(C\equiv C)$. Elemental analyses: Found (%): C, 78.11; H, 4.71; N, 6.29. Calcd for $C_{117}H_{83}N_8Au$: C, 78.15; H, 4.65; N, 6.23.

[0137] Compound 8: $[Au(^tBuC^N^C\text{-ligand L8})]$. Yield: 30 mg, 22%. 1H NMR (500 MHz, CD_2Cl_2 , 298 K, relative to Me_4Si): δ 1.26 (s, 18H), 7.24-7.28 (m, 16H), 7.34 (dd, J=8.0 and 2.0 Hz, 2H), 7.37-7.48 (m, 32H), 7.48 (dd, J=8.5 and 2.4 Hz, 4H), 7.58 (d, J=8.0 Hz, 2H), 7.62 (dd, J=8.5 and 2.0 Hz, 8H), 7.71-7.75 (m, 14H), 7.84 (d, J=8.5 Hz, 4H), 7.87 (t, J=8.4 Hz, 1H), 7.91 (dd, J=8.6 and 2.0 Hz, 4H), 7.96 (d, J=8.6 Hz, 4H), 8.16 (d, J=8.0 Hz, 16H), 8.23 (d, J=2.4 Hz, 2H), 8.34 (d, J=2.0 Hz, 8H), 8.60 (d, J=2.0 Hz, 4H). Positive FAB-MS: m/z 3119 $[M]^+$. IR (KBr disk): 2347 cm^{-1} $\nu(C\equiv C)$. Elemental analyses: Found (%): C, 81.57; H, 4.77; N, 7.02. Calcd for $C_{213}H_{139}N_{16}Au \cdot \frac{1}{2}H_2O$: C, 81.77; H, 4.51; N, 7.16.

[0138] Compound 9: $[Au(^tBuC^N^C\text{-ligand L4})]$. Yield: 106 mg, 70%. 1H NMR (500 MHz, CD_2Cl_2 , 298 K, relative to Me_4Si): δ 1.40 (s, 18H), 1.46 (s, 36H), 7.30 (d, J=8.6 Hz, 2H), 7.33 (dd, J=8.2 and 1.8 Hz, 2H), 7.43-7.48 (m, 10H), 7.50-7.54 (m, 8H), 7.58 (d, J=8.2 Hz, 2H), 7.62 (d, J=8.6 Hz, 2H), 7.87 (t, J=8.0 Hz, 1H), 8.16 (d, J=1.8 Hz, 4H), 8.21 (d, J=2.0 Hz, 2H). Positive FAB-MS: m/z 1360 $[M]^+$. IR (KBr disk): 2350 cm^{-1} $\nu(C\equiv C)$. Elemental analyses: Found (%): C, 74.46; H, 6.69; N, 3.87. Calcd for $C_{87}H_{84}N_4Au \cdot \frac{1}{2}H_2O$: C, 74.48; H, 6.67; N, 4.08.

[0139] Compound 10: $[Au(C^N^C\text{-ligand L4})]$. Yield: 120 mg, 83%. 1H NMR (500 MHz, $DMSO-d_6$, 298 K, relative to Me_4Si): δ 1.47 (s, 18H), 7.28-7.32 (m, 4H), 7.41-7.45 (m, 10H), 7.50-7.53 (m, 8H), 7.56 (d, J=8.0 Hz, 2H), 7.61 (d, J=8.4 Hz, 2H), 7.65 (dd, J=8.0 and 1.0 Hz, 2H), 7.93 (t, J=8.0 Hz, 1H), 8.08 (dd, J=7.2 and 1.0 Hz, 2H), 8.16 (d, J=1.6 Hz, 4H). Positive FAB-MS: m/z 1248 $[M]^+$. IR (KBr disk): 2361

cm^{-1} $\nu(\text{C}=\text{C})$. Elemental analyses: Found (%): C, 73.48; H, 5.53; N, 4.43. Calcd for $\text{C}_{77}\text{H}_7\text{N}_4\text{Au}\cdot\frac{1}{2}\text{H}_2\text{O}$: C, 73.49; H, 5.76; N, 4.45.

[0140] Compound 11: $[\text{Au}(2,5\text{-F}_2\text{-C}_6\text{H}_3\text{-ligand L9})]$. Yield: 100 mg, 58%. ^1H NMR (400 MHz, CD_3Cl , 298 K, relative to Me_4Si): δ 1.46 (s, 144H), 7.23-7.36 (m, 21H), 7.45-7.47 (m, 20H), 7.61-7.70 (m, 24H), 7.77-7.80 (m, 6H), 7.82-7.94 (m, 8H), 8.16-8.19 (m, 18H), 8.28 (d, $J=1.4$ Hz, 8H), 8.58 (s, 4H). Positive FAB-MS: m/z 4017 $[\text{M}]^+$. IR (KBr disk): 2364 cm^{-1} $\nu(\text{C}=\text{C})$. Elemental analyses: Found (%): C, 80.26; H, 6.52; N, 5.37. Calcd for $\text{C}_{275}\text{H}_{253}\text{F}_2\text{N}_{16}\text{Au}\cdot\frac{1}{2}\text{CH}_3\text{CH}_2\text{OCH}_2\text{CH}_3$: C, 80.12; H, 6.22; N, 5.40.

[0141] Compound 12: $[\text{Au}(\text{C}^{\text{N}}\text{C}^{\text{N}}\text{-ligand L10})]$. Yield: 60 mg, 38%. ^1H NMR (400 MHz, DMSO-d_6 , 298 K, relative to Me_4Si): 6.96-7.08 (m, 18H), 7.14 (d, $J=8.0$ Hz, 4H), 7.26-7.34 (m, 10H), 7.37 (t, $J=8.0$ Hz, 2H), 7.43 (d, $J=8.0$ Hz, 2H), 7.48-7.63 (m, 8H), 7.87-7.91 (m, 4H), 7.96 (d, $J=8.0$ Hz, 2H), 8.17 (t, $J=8.0$ Hz, 1H). Positive FAB-MS: m/z 1181 $[\text{M}]^+$.

[0142] Compound 13: $[\text{Au}(\text{dpic-ligand L10})]$. Yield: 30 mg, 45%. ^1H NMR (500 MHz, DMSO-d_6 , 298 K, relative to Me_4Si): 7.01-7.08 (m, 18H), 7.13 (d, $J=8.0$ Hz, 4H), 7.29-7.60 (m, 22H), 7.61 (t, $J=5.0$ Hz, 1H), 7.84-7.94 (m, 3H), 7.95 (d, $J=5.0$ Hz, 1H), 8.07 (d, $J=5.0$ Hz, 1H), 8.41 (d, $J=10.0$ Hz, 1H), 8.50 (s, 1H), 8.94 (d, $J=10.0$ Hz, 1H). Positive FAB-MS: m/z 1218 $[\text{M}]^+$.

Example 3

UV-Vis Absorption Properties

[0143] The UV-vis absorption spectrum of compounds 1-13 in dichloromethane at 298 degrees K feature an intense absorption band at 240-375 nm and a moderately intense vibronic-structured absorption band at ca. 380-450 nm with extinction coefficients (ϵ) on the order of $10^4\text{ dm}^3\text{ mol}^{-1}\text{ cm}^{-1}$. The UV-visible absorption data of compounds 1-13 in dichloromethane at 298 K have been summarized in Table 1.

[0144] As shown in FIG. 2, compounds 1-3 show an intense absorption band at 250-290 nm and a moderately intense vibronic-structured band at ca. 370-410 nm in dichloromethane at 298 degrees K. The high energy band is assigned to the spin-allowed intraligand (IL) $\pi\rightarrow\pi^*$ transition of the benzyloxy units. The molar extinction coefficients at approximately 285 nm increased from compound 1 to compound 3, which is ascribed to the increased number of benzyloxy units. For instance, the extinction coefficients (ϵ) at 285 nm for compounds 1, 2 and 3 are 5.77×10^4 , 6.48×10^4 and $7.93\times 10^4\text{ dm}^3\text{ mol}^{-1}\text{ cm}^{-1}$ respectively. The low-energy vibronic-structured band shows vibrational progression spacings of ca. 1300 cm^{-1} , corresponding to the skeletal vibrational frequency of the $2,5\text{-F}_2\text{-C}_6\text{H}_3\text{-C}^{\text{N}}\text{C}^{\text{N}}$ ligand. The low-energy absorptions are assigned as IL $\pi\rightarrow\pi^*$ transition of the $2,5\text{-F}_2\text{-C}_6\text{H}_3\text{-C}^{\text{N}}\text{C}^{\text{N}}$ ligand.

[0145] FIG. 3 shows the electronic absorption spectra of compounds 4, 5, 9, 10, and 11. Compounds 4, 5, 9-11 exhibit intense absorptions at 230-375 nm and a moderately intense vibronic-structured band at ca. 380-420 nm; it is noted that an additional absorption tail at 430-500 nm is observed in FIG. 3. The absorption bands at 230-375 nm are mainly attributed to spin-allowed IL $\pi\rightarrow\pi^*$ transition of the carbazole units. Similar to other gold (III) compounds, the weaker vibronic-structured absorption band was tentatively assigned to a metal-

perturbed IL $\pi\rightarrow\pi^*$ transition of the $\text{RC}^{\text{N}}(\text{R}')^{\text{N}}\text{CR}$ ligand with charge transfer character from the phenyl ring to the pyridyl unit. On the other hand, when 3,6-di-tert-butylcarbazole substituents are introduced at the 4,4' positions of the triphenylamine alkynyl moieties, electronic perturbation becomes so significant that ligand L4, L5 and L9 become more electron-rich, and the possibility of an admixture of IL $\pi\rightarrow\pi^*$ [$\text{RC}^{\text{N}}(\text{R}')^{\text{N}}\text{CR}$] and alkynyltriarylamine] or a ligand-to-ligand charge transfer (LLCT) $\pi[\text{alkynyltriarylamine}]\rightarrow\pi^*[\text{RC}^{\text{N}}(\text{R}')^{\text{N}}\text{CR}]$ transition is likely.

[0146] FIGS. 4 and 5 show the electronic absorption spectra of compounds 6-8, and the electronic absorption spectra of compounds 12-13, respectively. Compounds 6-8 show intense absorption bands at 300-350 nm and a moderately intense vibronic-structured shoulder at ca. 390-410 nm; while compounds 12-13 exhibit strong absorption bands at ca. 300-380 nm. Moreover, a lower energy band can be observed at ca. 430-450 nm in compound 13. The UV-vis absorption and emissive spectra of compounds 1-13 in Examples 3 and 4, respectively, gave the fundamental photophysical data, that provide useful guidelines for the design of the chemical structures to tune the emission color of the emitters in both solution and solid state.

Example 4

Photoluminescence Properties

[0147] Unlike most other Au(III) compounds which are non-emissive or only show luminescence at low temperatures, compounds 1-13 display intense luminescence at 482-695 nm in solution at room temperature (Table 1). FIG. 6 shows the normalized emission spectra of compounds 1-3. Upon excitation at $\lambda=380$ nm in dichloromethane solution at 298 degrees K, a vibronic-structured emission band with a band maximum at around 480 nm is observed for compounds 1-3. Interestingly, the introduction of an increasing content of polyarylether dendrons onto the alkynyl moiety, results in a minor perturbation in the emission maxima. The progression spacings of ca. 1300 cm^{-1} , that are characteristic of the $\text{C}=\text{C}$ and $\text{C}=\text{N}$ stretching frequencies of the $2,5\text{-F}_2\text{-C}_6\text{H}_3\text{-C}^{\text{N}}\text{C}^{\text{N}}$ tridentate ligand, indicate the involvement of the tridentate ligand in the excited state origin. According to the published literature concerning the luminescence of related compounds, the luminescences of compounds 1-3 are assigned assuming that they originate from a metal-perturbed IL $^3[\pi\rightarrow\pi^*]$ state of the $2,5\text{-F}_2\text{-C}_6\text{H}_3\text{-C}^{\text{N}}\text{C}^{\text{N}}$ tridentate ligand, probably mixed with a charge transfer character from the phenyl ring to the pyridyl unit.

[0148] FIGS. 7 and 8 display the normalized emission spectra of thin films of compounds 2 and 3 doped into a PMMA substrate at different concentrations. Both compounds show similar vibronic-structured emission bands with an emission energy similar to that observed for compound 2 in dichloromethane solution. The emissive origin is tentatively assigned assuming that it originates from a metal-perturbed IL $^3[\pi\rightarrow\pi^*]$ state of the $2,5\text{-F}_2\text{-C}_6\text{H}_3\text{-C}^{\text{N}}\text{C}^{\text{N}}$ ligand. In contrast to the insignificant change of emission properties in dichloromethane solution, the saturated polyarylether dendrons dramatically influence the solid-state emission of the dendritic Au(III) compounds. A red emission tail is observed

for compound 2 with increasing dopant concentrations from 8 wt % to 50 wt %, and it is more pronounced in the neat film. This observation could be due to the excimeric emission resulting from the π -stacking of the 2,5-F₂-C₆H₃-C $\equiv$ N-C moieties with higher order and better packing of molecules at higher concentrations. The bathochromic problem is greatly alleviated by the introduction of the second generation of polyarylether dendrons onto the alkynyl moiety in compound 3. It can be seen in FIG. 8 that with increasing dopant concentrations of compound 3 from 8 wt % to 50 wt % and even up to 100 wt % (i.e. neat film), the red emission tail has disappeared. The emission band of compound 3 in the 50 wt % dopant concentration is almost the same as that in the solution, suggesting that the π -stacking of the 2,5-F₂-C₆H₃-C $\equiv$ N-C moieties between the emissive cores has been reduced. The use of saturated polyarylether dendrons to prevent the π -stacking of the 2,5-F₂-C₆H₃-C $\equiv$ N-C moieties and hence the formation of excimeric emission in order to maintain the colour purity and stability of the Au(III) chromophoric compounds is first demonstrated. In some embodiments of the present invention, π -stacking of the 2,5-F₂-C₆H₃-C $\equiv$ N-C moieties is prevented by polyarylether dendrons, and effectively minimizes the intermolecular interactions between the molecules that lead to emission quenching or triplet-triplet annihilation. This emission quenching would otherwise inevitably degrade the performance of the OLED.

[0149] FIGS. 9 and 10 show the normalized emission spectra of compounds 4, 5, 11 and normalized emission spectra of compounds 6-8 in dichloromethane at room temperature, respectively. Upon excitation at $\lambda > 380$ nm, a broad structureless emission band is observed at ca. 560-695 nm. Due to the presence of the electron-donating triphenylamine substituent on the ligand L4-L9 moieties, the origin of the emission band

in compounds 4-8 and 11 is tentatively assigned as derived from an excited state of ³LLCT π [alkynyltriarylamine $\rightarrow \pi^*$ [RC $\equiv$ N(R) $\equiv$ CR] origin. Interestingly, incorporation of the triphenylamine moiety into the higher generation of dendrimers for compounds 6-8 has resulted in higher-energy emission bands [6 (591 nm) < 7 (570 nm) < 8 (560 nm)]. Similarly compounds 4, 5 and 11 exhibit progressively higher emission bands [4 (695 nm) < 5 (646 nm) < 11 (620 nm)].

[0150] FIG. 11 shows the normalized photoluminescence spectra of compounds 12 and 13 in dichloromethane at 298 degrees K. A vibronic-structured band with a band maximum at around 600 nm is observed for compound 12 and a broad lower energy structureless emission band centered at 660 nm is noted for compound 13.

[0151] FIG. 12 depicts the emission spectra of thin films of compound 4 doped into PMMA at different concentrations. A red shift in the emission band between 540 and 590 nm is observed with increasing dopant concentrations from 2 wt % to 50 wt %. The emission maximum in the neat film is almost identical to that in the 50 wt % thin film at around 591 nm, suggesting that the extent of π -stacking of the 2,5-F₂-C₆H₃-C $\equiv$ N-C moieties of compound 4 with increasing dopant concentration from 50 wt % to 100% (i.e. neat film) is similar. Such concentration-dependent emission has also been observed for other structurally related Au(III) compounds, probably due to dimeric/oligomeric or excimeric emission in the thin film arising from the π - π stacking of the 2,5-F₂-C₆H₃-C $\equiv$ N-C ligand. This concentration-dependent colour tuning can be accomplished by making use of the relationship between the emission colour of the OLED and the dopant concentration, and thus providing an optimal emission colour spectrum for an application for which the OLED will be used.

TABLE 1

Photophysical data for compounds 1-13					
Compound	Medium (T/K)	Absorption λ_{max} /nm (λ_{max} /dm ³ mol ⁻¹ cm ⁻¹)	Emission λ_{max} /nm(τ_o /μs)	$\Phi_{sol}^{[a]}$	$\Phi_{film}^{(90\%)[b]}$
1	CH ₂ Cl ₂ (298)	285 (56850), 330 (15820), 365 (6825), 390 (5340), 410 (3660)	482, 512 (<0.1)	4×10^{-3}	[c]
2	CH ₂ Cl ₂ (298)	285 (64800), 330 (17570), 365 (7170), 390 (5705), 410 (3706)	482, 512 (<0.1)	7×10^{-3}	0.16
3	CH ₂ Cl ₂ (298)	285 (79250), 330 (18870), 365 (8490), 390 (6515), 410 (4465)	482, 512 (<0.1)	9×10^{-3}	0.20
4	CH ₂ Cl ₂ (298)	242 (114410), 300 (54050), 330 (64500), 350 (41940), 390 (4740), 410 (5850)	695 (<0.1)	3×10^{-3}	0.57
5	CH ₂ Cl ₂ (298)	244 (233310), 290 (108980), 302 (95340), 330 (69580), 350 (51510), 386 (7830), 408 (7585)	646 (<0.1)	0.10	0.39
6	CH ₂ Cl ₂ (298)	243 (121150), 262 (85205), 295 (58750), 327 (60250), 344 (45430), 391 (15830), 411 (12320)	591 (0.13)	0.13	0.17

TABLE 1-continued

Photophysical data for compounds 1-13					
Compound	Medium (T/K)	Absorption λ_{max}/nm ($\lambda_{max}/dm^2 mol^{-1} cm^{-1}$)	Emission λ_{max}/nm ($\tau_o/\mu s$)	$\Phi_{sol}^{[a]}$	$\Phi_{film}^{[b]}$
7	CH ₂ Cl ₂ (298)	243 (267750), 262 (168070), 295 (122600), 327 (83120), 344 (67300), 391 (15490), 411 (10355)	570 (0.14)	9×10^{-2}	0.15
8	CH ₂ Cl ₂ (298)	243 (435480), 262 (240790), 295 (203110), 327 (89210), 344 (73810), 391 (15290), 411 (11360)	560 (0.13)	3×10^{-2}	0.13
9	CH ₂ Cl ₂ (298)	240 (142790), 267 (82950), 299 (65346), 328 (60178), 394 (14570), 414 (11860)	610 (0.2)	6×10^{-2}	0.20
10	CH ₂ Cl ₂ (298)	250 (83740), 267 (53065), 299 (41255), 328 (40790), 383 (7210), 402 (7380)	632 (<0.1)	2×10^{-2}	[c]
11	CH ₂ Cl ₂ (298)	244 (523880), 300 (257760), 330 (112970), 350 (71705), 386 (12210), 408 (9510)	620 (<0.1)	1×10^{-2}	0.28
12	CH ₂ Cl ₂ (298)	312 (67670), 328 (68820), 362 (102045), 428 (10580)	660 (<0.1)	2×10^{-3}	0.20
13	CH ₂ Cl ₂ (298)	300 (74215), 328 (77945), 346 (107635), 362 (104550), 430 (11890), 450 (10620)	600, 650 (<0.1)	2×10^{-3}	0.05

[a] The luminescence quantum yield, measured at room temperature using [Ru(bpy)₃]Cl₂ in aqueous state as the reference (excitation wavelength = 436 nm, $\Phi_{lum} = 0.042$)

[b] Φ_{film} of Au(III) compounds doped into MCP excited at wavelength of 320 nm

[c] Not determined

Example 5

[0152] An organic EL device according to an embodiment of the invention was constructed in the following manner:

[0153] a) A transparent anode ITO-coated borosilicate glass substrate (38 mm×38 mm) with sheet resistance of 30 Ω /square was ultrasonicated in the commercial detergent Decon 90, rinsed in deionized water having a resistivity of 18.2 mega-ohm for 15 minutes, and then dried in an oven at 120 degree C. for an hour. The substrate was next subjected to an UV-ozone treatment in a Jelight 42-220 UVO-Cleaner equipped with a mercury grid lamp for 15 minutes in order to increase the work function of the ITO-coated glass substrate for better hole injection into the organic layer.

[0154] b) A 40-nm thick PEDOT:PSS hole-transporting layer was spin-coated by using a Laurell WS-400Ez-6NPP-Lit2 single wafer spin processor at 7000 rpm for 30 seconds onto the ITO-coated glass substrate of step a and baked at 110 degree C. for 10 minutes in air.

[0155] c) A 30-nm thick light-emitting layer was spin-coated by using a Laurell WS-400Ez-6NPP-Lit2 single wafer spin processor at 6000 rpm for 25 seconds onto PEDOT:PSS layer of step b, and baked at 80 degree C. for 10 minutes in air, in which compound 2 was doped into light-emitting MCP layer at different concentrations in the range from 5 to 50%;

[0156] d) The substrate was put into a vacuum chamber, and the chamber was pumped down from 1 bar to 5×10^{-6} mbar;

[0157] e) A 30-nm thick BALq electron-transporting layer was deposited by thermal evaporation on doped CBP light-emitting layer.

[0158] f) A 0.8-nm thick LiF layer and a 80 nm thick Al layer were deposited by thermal evaporation on the BALq layer to form an electron-injecting cathode.

[0159] BALq, LiF and Al were prepared by thermal evaporation from tantalum boats by applying current through the tantalum boats. Deposition rates were monitored with a quartz oscillation crystal and a Sigma SQM-242 quartz crystal card and controlled at 0.1-0.2 nm/s for both organic and metal layers. Current density-voltage-luminance characteris-

tics of organic EL devices were measured with a programmable Keithley model 2420 power source and a Spectrascan PR 655 colorimeter under ambient air conditions. The devices exhibit vibronic-structured blue emission with a peak maximum at 472 nm and a maximum luminance of 670 cd/m².

Example 6

[0160] The same materials and processing procedures were employed as described in Example 5, except that compounds 3, 6-10 were doped into MCP as the light-emitting layer.

Example 7

[0161] The same materials and processing procedures were employed as described in Example 5, except compounds 4, 5, 11-13 were doped into MCP as the light-emitting layer. For compounds 4, 5 and 11, a 5 nm thick TmPyPB and a 30 nm thick 3TPYMB were used as the electron-transporting layers; while for compounds 12 and 13, a 30 nm thick BmPy-PhB was used as the electron-transporting layer. FIGS. 13-24 depict the electroluminescence spectra of the resulting OLEDs with compounds 2-13 doped into MCP as light-emitting layer.

[0162] Table 2 summarizes the performance of OLEDs with selected Au(III) compounds doped into MCP as the light-emitting layer. FIG. 25 shows a) current efficiency, b) power efficiency and c) external quantum efficiency of the device with compound 4 doped into MCP as the light-emitting layer. For an optimized device with 20% compound 4 doped into the MCP layer, high current, power, and external quantum efficiencies of 22.7 cd/A, 17.7 lm/W, and 7.60%, respectively, were obtained. These high device efficiencies are comparable to those of solution-processable OLEDs based on an iridium(III) system and are much higher than those of solution-processable OLEDs based on other transition metal centres, such as platinum(II), ruthenium(II) and rhenium(II) systems. In addition, such devices exhibit a broad and structureless yellow emission spectrum with excellent CIE coordinates of (0.49, 0.49). In some embodiments of the invention, these Au(III) dendrimers possess desirable electro-

phosphorescent properties, and are candidates as electrophosphorescent dopants for OLEDs.

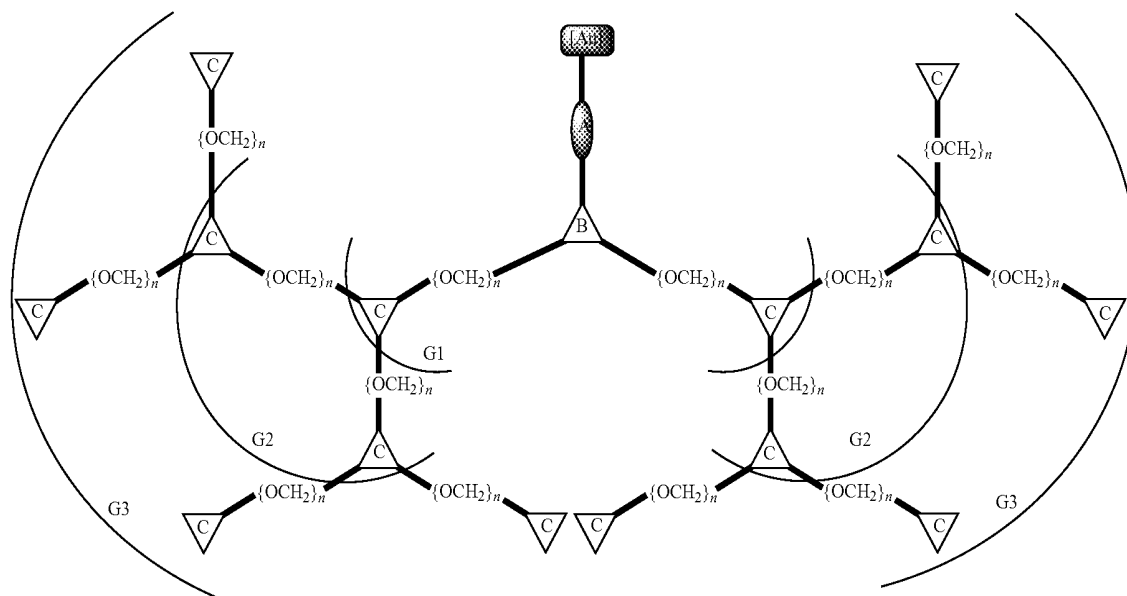
TABLE 2

Characteristics of OLEDs using Au(III) compounds as phosphorescent dopants				
Compound	Max. Luminance (cd/m ²)	Current Efficiency (cd/A)	Power Efficiency (lm/W)	EQE (%)
2	670	3.3	1.3	1.2
3	800	6.7	3.5	2.5
4	10,300	22.7	17.7	7.6
5	2,200	21.9	16.4	7.0
6	2,800	10.6	6.1	3.7
7	1,700	4.7	3.3	1.6
8	1,100	1.0	0.6	0.4
9	4,300	13.7	10.8	4.3
10	3,100	16.1	12.6	5.1
11	900	11.4	9.6	3.8
12	3,800	20.0	16.4	6.3
13	650	1.2	0.8	0.9

[0163] These examples should not be construed as limiting the scope of the invention, but as providing illustrations of some of the embodiments of the invention.

What is claimed:

1. A luminescent gold(III) compound comprising the chemical structure represented by the following general formula,



wherein:

- [Au] is a cyclometalated tridentate gold(III) group;
- Unit A is a σ -donating chemical group;
- Unit B is a central part of the dendrons comprising a branch point of component dendrimers;
- Unit C is optional surface groups or dendrons of the dendrimers;
- $n=0$ or 1.

2. The gold(III) compound according to claim 1, wherein unit A comprises at least one of alkylalkynyl, substituted alkylalkynyl, arylalkynyl, substituted arylalkynyl, heteroarylalkynyl and substituted heteroarylalkynyl.

3. The gold(III) compound according to claim 1, wherein unit B and C comprise benzene, phenyl derivatives, pyridine or pyridyl derivatives, with one or more alkyl, alkenyl, alkynyl, alkylaryl, cycloalkyl, OR, NR₂, SR, C(O)R, C(O)OR, C(O)NR₂, CN, CF₃, NO₂, SO₂, SOR, SO₃R, halo, aryl, substituted aryl, heteroaryl, substituted heteroaryl, heterocyclic group, wherein R is independently alkyl, alkenyl, alkynyl, alkyaryl, aryl, or cycloalkyl.

4. The gold(III) compound according to claim 1 wherein the compound is deposited as a thin layer on a substrate layer.

5. The gold(III) compound according to claim 4 wherein the thin layer is deposited by spin-coating, or inkjet printing.

6. The gold(III) compound according to claim 1, wherein the compound has photoluminescence properties within a range of about 380 to 1050 nm.

7. The gold(III) compound according to claim 1, wherein the compound emits light in response to the passage of an electric current or to a strong electric field.

8. The gold(III) compound according to claim 1, wherein the compound is used to fabricate an OLED.

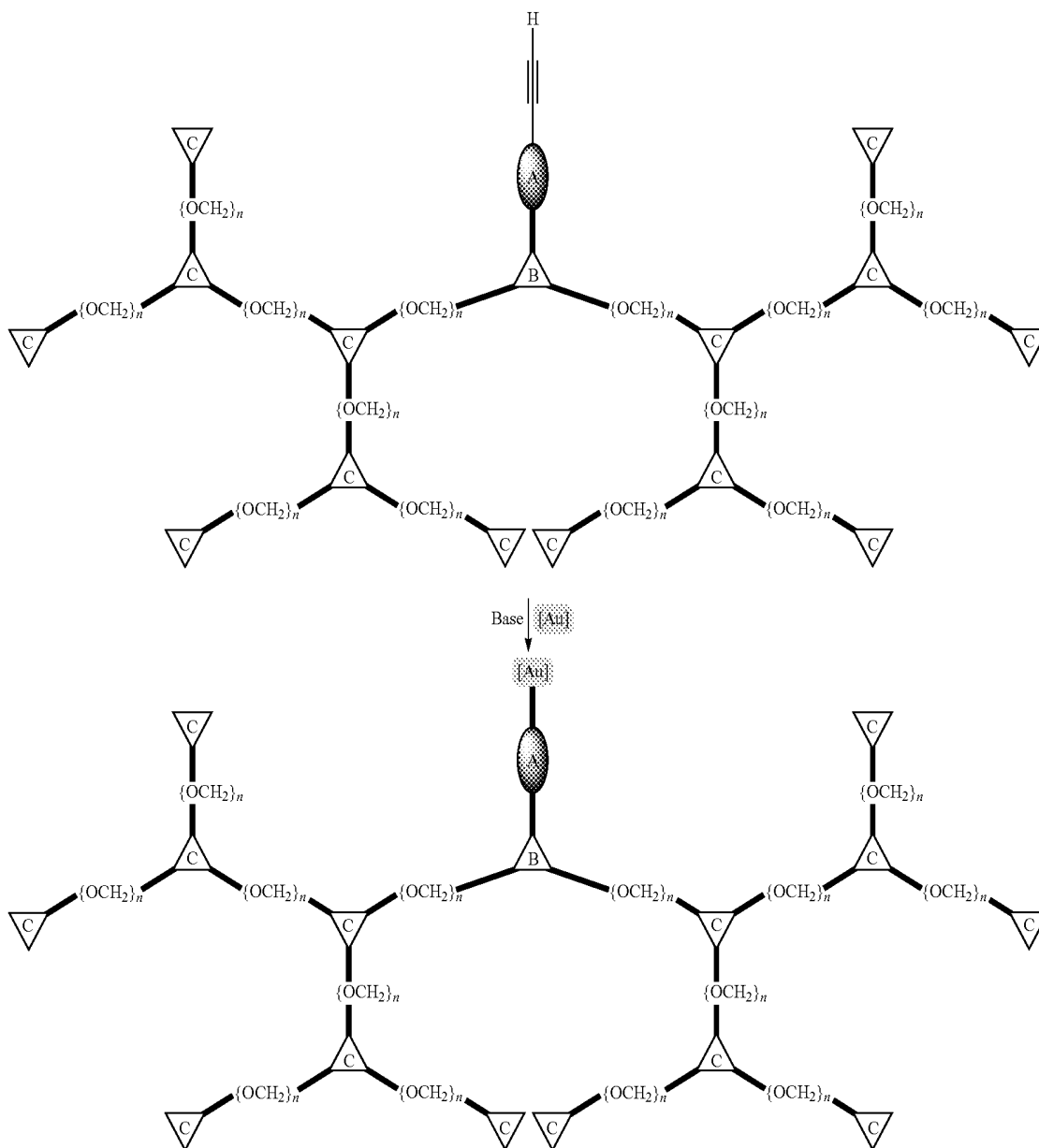
9. The gold(III) compound according to claim 8, wherein the gold (III) compound serves as the light-emitting layer of the OLED.

10. The gold(III) compound according to claim 9, wherein the gold (III) compound serves as a dopant in the light-emitting layer of the OLED.

11. The gold(III) compound according to claim 10 wherein the emission energy of the compound varies with the concentration of the gold(III) compound dopant.

12. A method for preparing a luminescent compound with a cyclometalated tridentate ligand and at least one strong

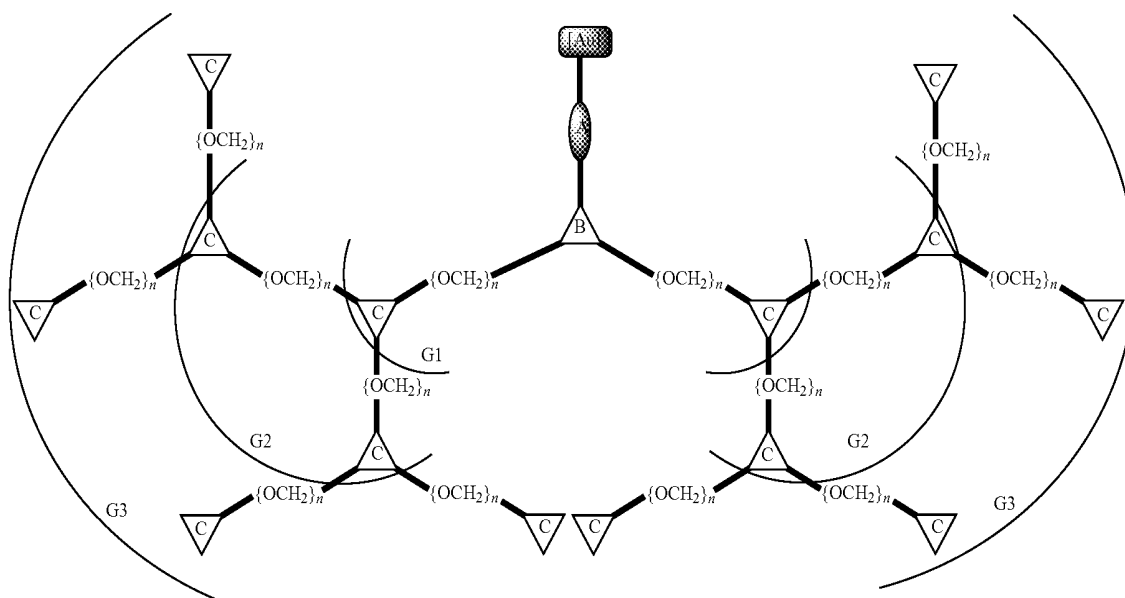
σ -donating group coordinated to a gold(III) metal group, comprising the following reaction:



wherein:

- (a) Unit A comprises at least one of alkylalkynyl, substituted alkylalkynyl, arylalkynyl, substituted arylalkynyl, heteroarylalkynyl, and substituted heteroarylalkynyl;
 - (b) Units B and optionally C comprise benzene, phenyl derivatives, pyridine or pyridyl derivatives, with one or more alkyl, alkenyl, alkynyl, alkylaryl, cycloalkyl, OR, NR₂, SR, C(O)R, C(O)OR, C(O)NR₂, CN, CF₃, NO₂, SO₂, SOR, SO₃R, halo, aryl, substituted aryl, heteroaryl, substituted heteroaryl, heterocyclic group, wherein R is independently alkyl, alkenyl, alkynyl, alkylaryl, aryl or cycloalkyl.
13. The method according to claim 12 wherein a luminescent compound is prepared.
 14. The method according to claim 12 wherein the gold(III) metal group comprises a light-emitting layer of a light emitting device.
 15. The method according to claim 12 wherein the gold(III) metal group comprises a layer of a light emitting device.
 16. The gold(III) compound according to claim 10 wherein the gold(III) metal compound is a dopant included in the light emitting layer of the light emitting device.
 17. The method according to claim 12 wherein the gold(III) metal compound is a dopant included in a light emitting device.
 18. A light-emitting device with an ordered structure comprising an anode, a hole-transporting layer, a light-emitting layer, an electron-transporting layer and a cathode wherein

the light-emitting layer comprises a gold(III) compound having a chemical structure represented by the following general formula,



wherein:

- (a) Unit A comprises at least one of alkylalkynyl, substituted alkylalkynyl, arylalkynyl, substituted arylalkynyl, heteroarylalkynyl, and substituted heteroarylalkynyl;
- (b) Units B and optionally C comprise benzene, phenyl derivatives, pyridine or pyridyl derivatives, with one or more alkyl, alkenyl, alkynyl, alkylaryl, cycloalkyl, OR, NR₂, SR, C(O)R, C(O)OR, C(O)NR₂, CN, CF₃, NO₂, SO₂, SOR, SO₃R, halo, aryl, substituted aryl, heteroaryl, substituted heteroaryl, heterocyclic group, wherein R is independently alkyl, alkenyl, alkynyl, alkylaryl, aryl or cycloalkyl.

19. The light-emitting device of claim **18** wherein the light-emitting layer is prepared using at least one solution processing technique.

20. A light-emitting device having an ordered structure comprising an anode, a hole-transporting layer, a light-emitting layer, an electron-transporting layer and a cathode wherein the light-emitting layer comprises a gold(III) compound prepared according to the method of claim **12**.

21. The light-emitting device of claim **20** wherein the light-emitting layer is prepared using at least one solution processing technique.

* * * * *

专利名称(译)	含有发光金 (III) 化合物的树枝状大分子用于有机发光器件及其制备		
公开(公告)号	US20130228758A1	公开(公告)日	2013-09-05
申请号	US13/779021	申请日	2013-02-27
[标]申请(专利权)人(译)	YAM VIVIAN 荣华 唐KOBÉ MAN CHUNG 陈MAGGIE 美仪 黄KEITH MAN CHUNG		
申请(专利权)人(译)	山药, VIVIAN 荣华 唐, 科比MAN CHUNG 陈, MAGGIE 美仪 黄, KEITH MAN CHUNG		
当前申请(专利权)人(译)	香港大学		
[标]发明人	YAM VIVIAN WING WAH TANG KOBÉ MAN CHUNG CHAN MAGGIE MEI YEE WONG KEITH MAN CHUNG		
发明人	YAM, VIVIAN WING WAH TANG, KOBÉ MAN CHUNG CHAN, MAGGIE MEI YEE WONG, KEITH MAN CHUNG		
IPC分类号	H01L51/00		
CPC分类号	G05D21/00 G06Q50/02 G06Q10/10 H01L51/0084 C07F1/12 C07F7/0805 C07F7/0812 C09K11/06 C09K2211/188 H01L51/0072 H01L51/0091 H01L51/0095 H01L51/5012		
优先权	61/605553 2012-03-01 US		
其他公开文献	US9224962		
外部链接	Espacenet USPTO		

摘要(译)

一类新的饱和或共轭树枝状大分子, 含有至少一个强 σ -给体基团, 与环金属化三齿金 (III) 化合物配位, 具有通式所示的化学结构: 其中: (a) [Au]是环金属化的三齿金 (III) 基团; (b) 单元A是 σ -给予化学基团; (c) 单元B是树枝状结构的中心部分, 包括组分树枝状大分子的分支点; (d) 单元C是树枝状大分子的任选表面基团或树枝; (e) $n = 0$ 或1。

